

EPISTEMOLOGY OF AN ECOYSTEMIC EPIDEMIOLOGY

Its Relevance for Efficacy of Pandemic Management:
the Case of COVID-19 and its “theory-free” modeling

**Epistemology Meeting,
Kepler Univ., Linz, May 2024
(May 3rd 2024)**

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CV: Felix Tretter Prof. Dr.med. Dr.phil. Dr. rer.pol

Bertalanffy Center for the Study of Systems Science (Vienna)

- Vice President, since 2017.

<https://www.bcsss.org/2019/towards-organismic-systems-medicine/>

<https://www.bcsss.org/2019/systems-medicine-comorbidity/>

- President of the German Society for Human Ecology(Berlin/Augsburg). -
Research

Formerly:

- studies in analytical philosophy of science at Chair Wolfgang Stegmüller /
Muncih

- studies in medicine and sociology

- work as brain researcher, physician and manager in health care

- active in epidemiology of addictive disorders, also simulation studies

Personal source of presentation:

* Tretter. F 2022. Wissensgesellschaft im Krisenstress. Pabst, Lengerich

* **Tretter F, Marcum J.** 'Medical Corona Science': Philosophical and systemic
issues: Re-thinking medicine? On the epistemology of Corona medicine. J Eval
Clin Pract. 2022 Jul 11. doi: 10.1111/jep.13734. Epub ahead of print. PMID:
35818671.

SUMMARY - EPISTEMOLOGY OF EPIDEMIOLOGY

Re-thinking epidemiology:

- Proposition #1: (Grounded ?) Theoretical epidemiology is needed!
- Proposition #2: Social / Human Ecology as conceptual reference
- **Proposition #3: Systems science as bridging method**

1. Epidemiology & Theory of Corona

- Epidemiology as data science
- „Dataism“; Descriptive empiricism without explanatory theory?
- **Problems with causal analysis**

2. Social / Human Ecology

- Integrating natural and social sciences and integrating other perspectives
- heterogeneous evidence to be integrated ?

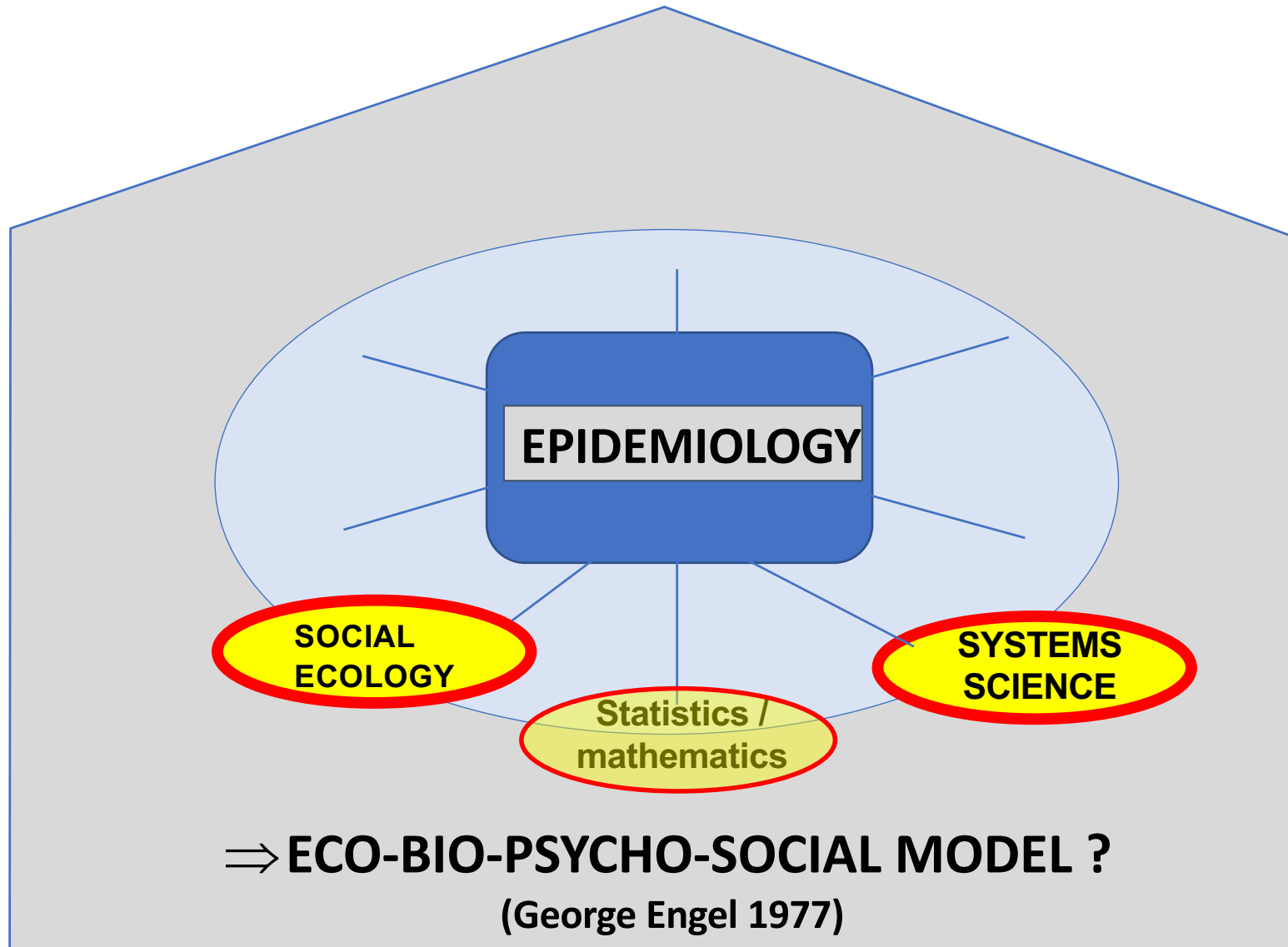
3. Systems Science

- Methodology of modelling
- Theoretical models (flow equilibrium): epistemic value for explanation

4. Perspective

EPIDEMIOLOGY – based on SOCIAL ECOLOGY AND SYSTEMS SCIENCE

- Epistemologies of different disciplines -



1. CORONA EPIDEMIOLOGY

1.1 Empirical research

- „Incidence“ and real health state of population ?
 - **Causes of changes of „incidence“ ?**
(still is under discussion...)
 - **Is mathematical epidemiology
sufficient
for understanding real-life epidemics ?**

CAUSAL INFERENCE IN LATE CORONA-EPIDEMIOLOGY

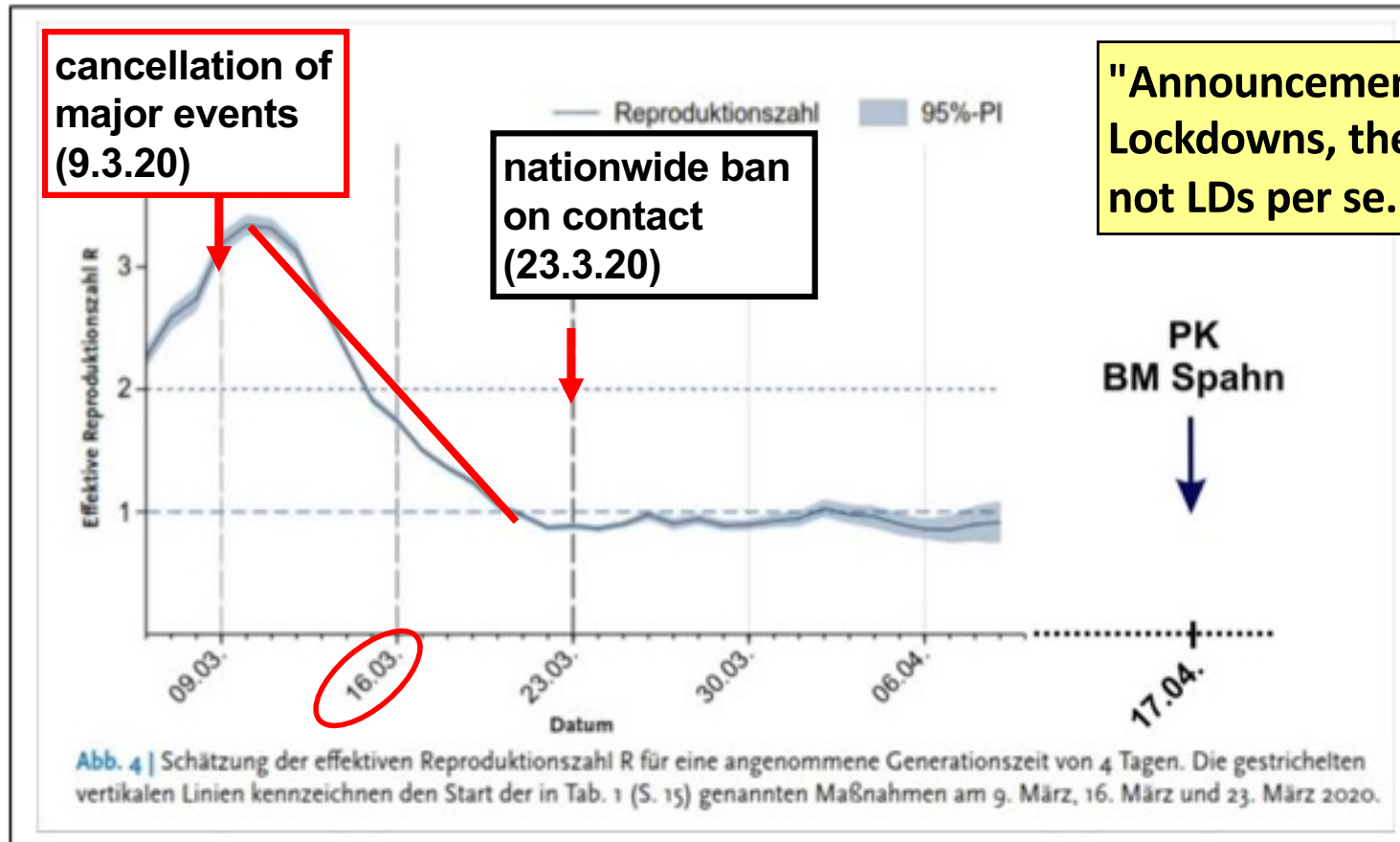
- „Incidence“ = test positives! Not persons who fell ill...!
- Use of „Reff“ as the calculated (not „measured“) retrospective number of test-positives; several different modes of calculation like 7 days average !
- Accounting the effects of different interventions like ban of major events
- Attributing a latency for change of Reff after intervention of several days!
- Inaccurate assessment of interventions by Imperial College London (30th March 2020)
- Correction by Swedish epidemiologists, using the code of ICL
- To be discussed !!!

„INCIDENCE“ - CAUSE AND EFFECT ?



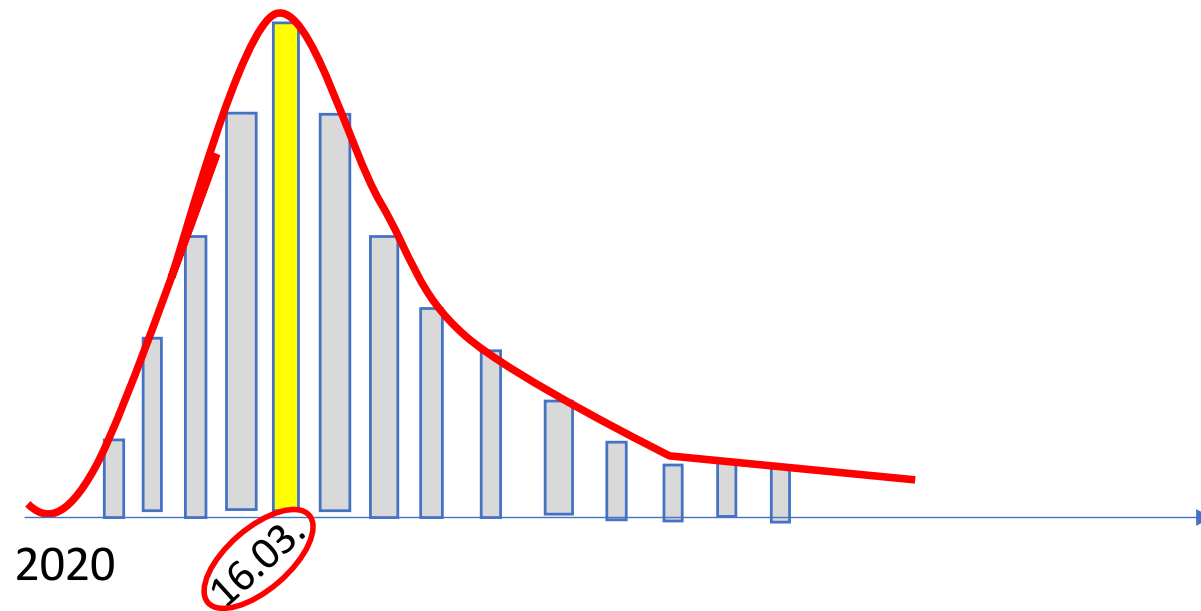
Fig. 8: In Switzerland, similar to Germany, the **effective reproduction number** had already fallen to 1 **before the lockdown was announced** and remained at a low level there (Vernazza 2020). The reduction is most likely to be seen as a **consequence of the previously imposed ban on events**.
Modif. After Schrappe et al. 2020 TP2; p. 34

"INCIDENCE" of positive tests and Dynamics: Incidence vs. **Reff** ?



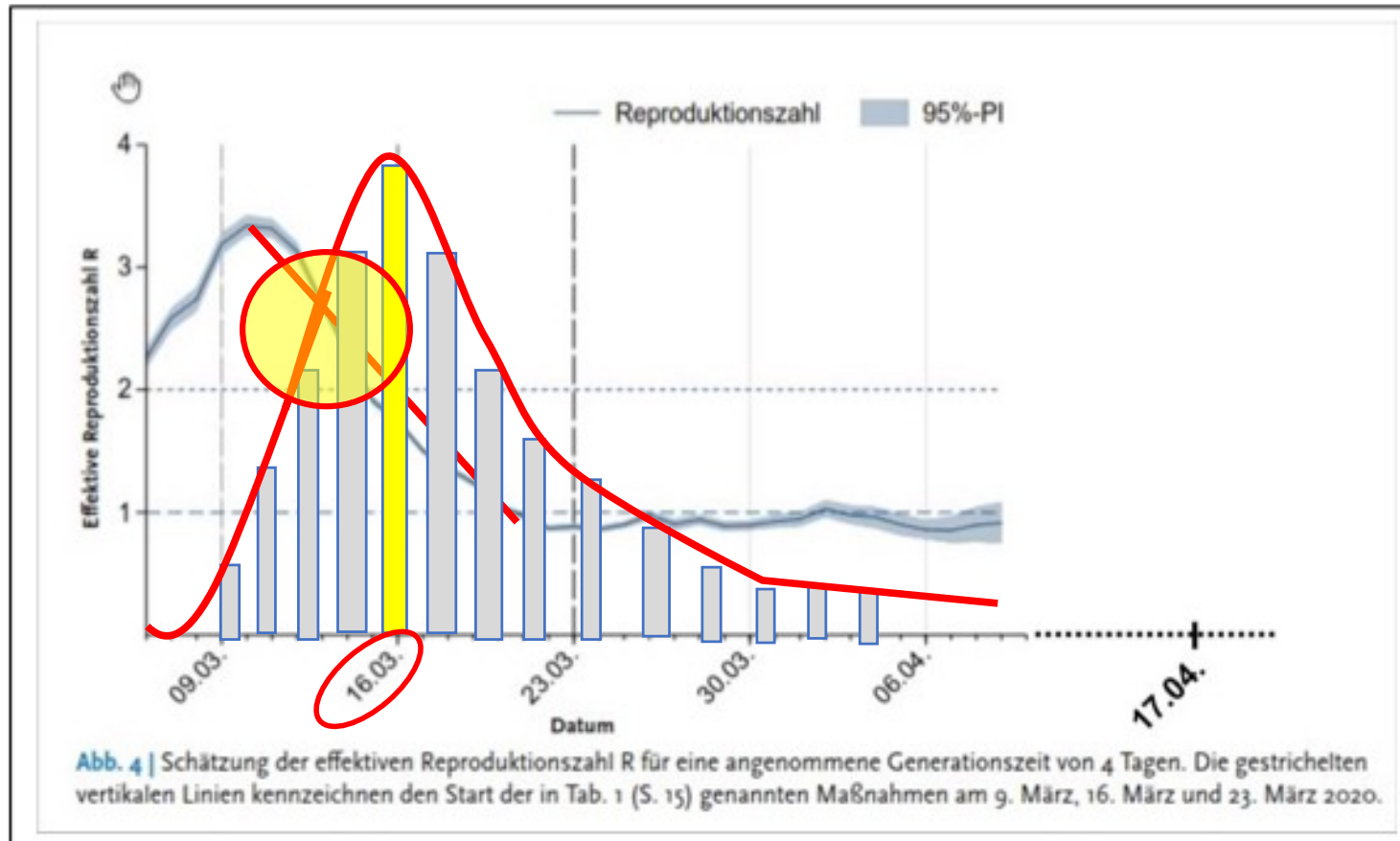
- problems with calculation of „effective reproduction number“ (Reff)
- Reff indicates the speed of growth of incidence : is this a good control indicator for Public health interventions ?

"INCIDENCE" of positive tests and Dynamics: raw data of incidence!



- problems with „incidence“ (= positively tested persons / cases)

"INCIDENCE" of positive tests and Dynamics: Incidence vs. Reff ?



- problems with calculation of „effective reproduction number“ (Reff)
- Reff indicates the speed of growth of incidence : is this a good control indicator for Public health interventions ?

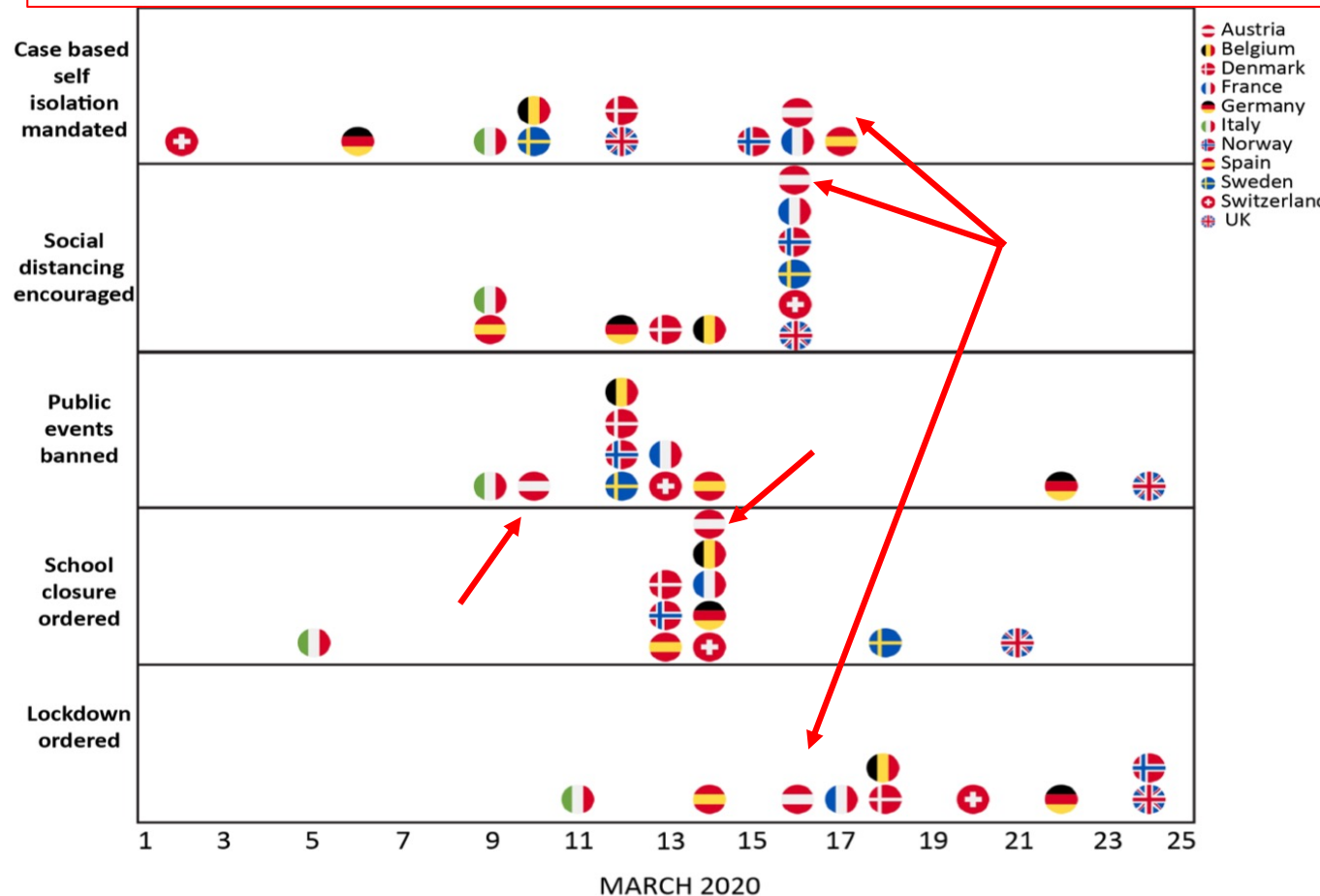
Coronavirus measures may have already averted up to 120,000 deaths across Europe

by [Ryan O'Hare](#), [Dr Sabine L. van Elsland](#) 30 March 2020

[HomeCollege and CampusScienceEngineeringHealthBusiness](#)

Seth Flaxman, Swapnil Mishra, Axel Gandy *et al.* Estimating the number of infections and the impact of non-pharmaceutical interventions on COVID-19 in 11 European countries. Imperial College London (30-03-2020) doi: <https://doi.org/10.25561/77731>. <https://www.imperial.ac.uk/news/196556/coronavirus-measures-have-already-averted-120000/>

WHAT HAPPENED AT MARCH 16th 2020 IN AUSTRIA /GERMANY ?



Different measures at different times; also announcement e.g. Friday March 13th, implementation Monday 16th => behavior of the people not only through the implementation, but after announcement or even in advance; also Explanandum Δ incidence questionable (reporting delay)!

Figure 1: Intervention timings for the 11 European countries included in the analysis. For further details see Appendix 8.6.

"INCIDENCE" of positive tests and Dynamics: Incidence vs. Reff ?

- CAUSE AND EFFECT ? -

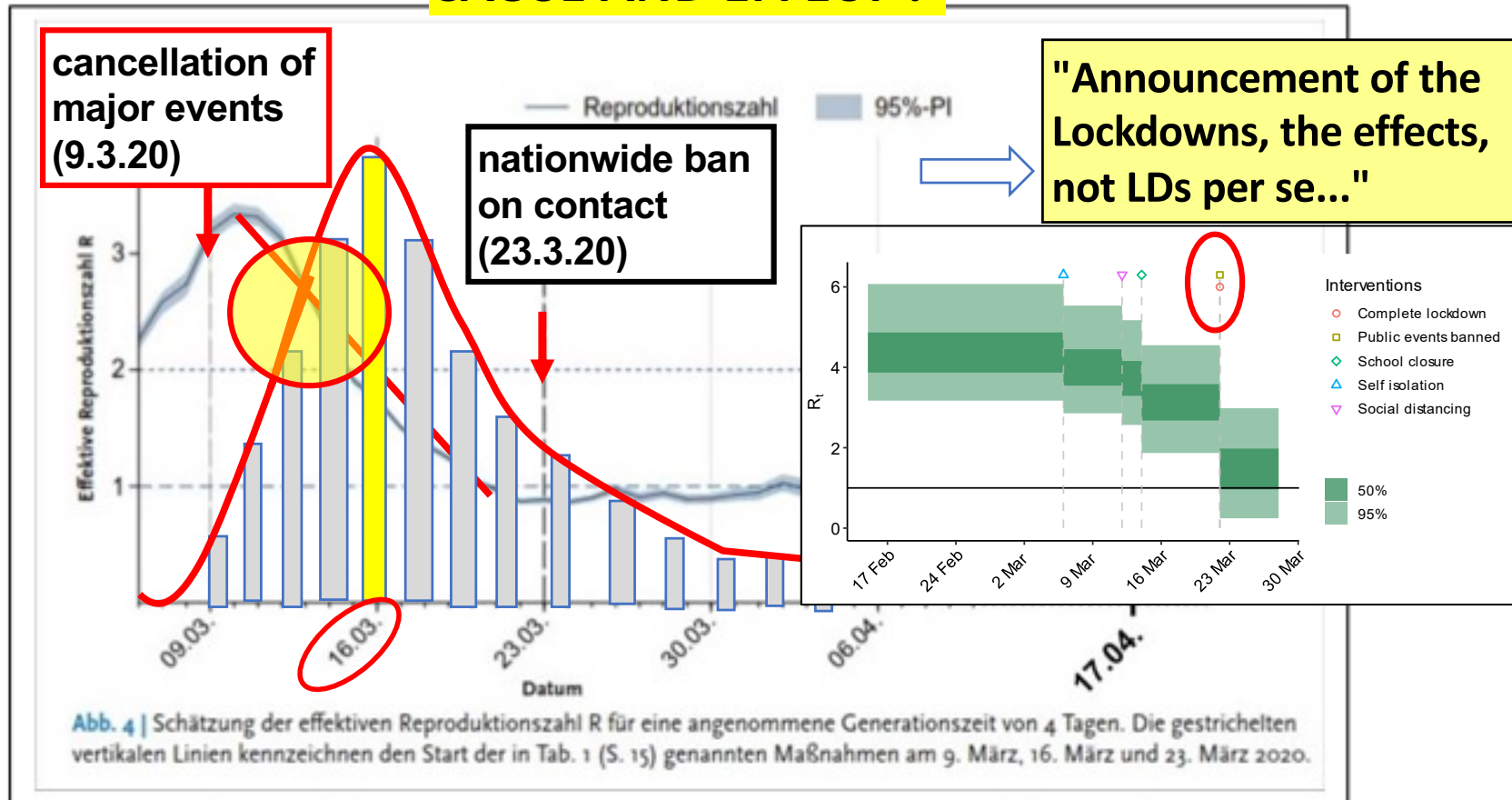
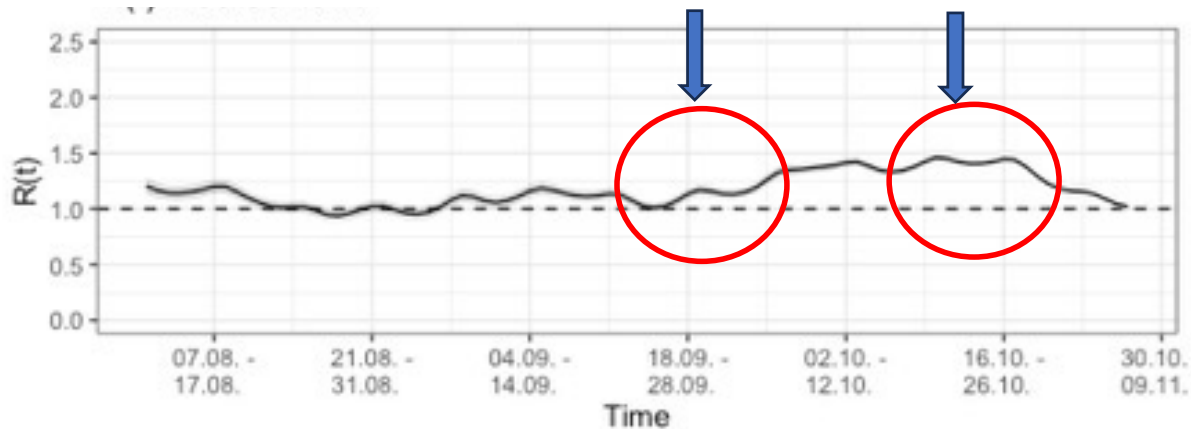


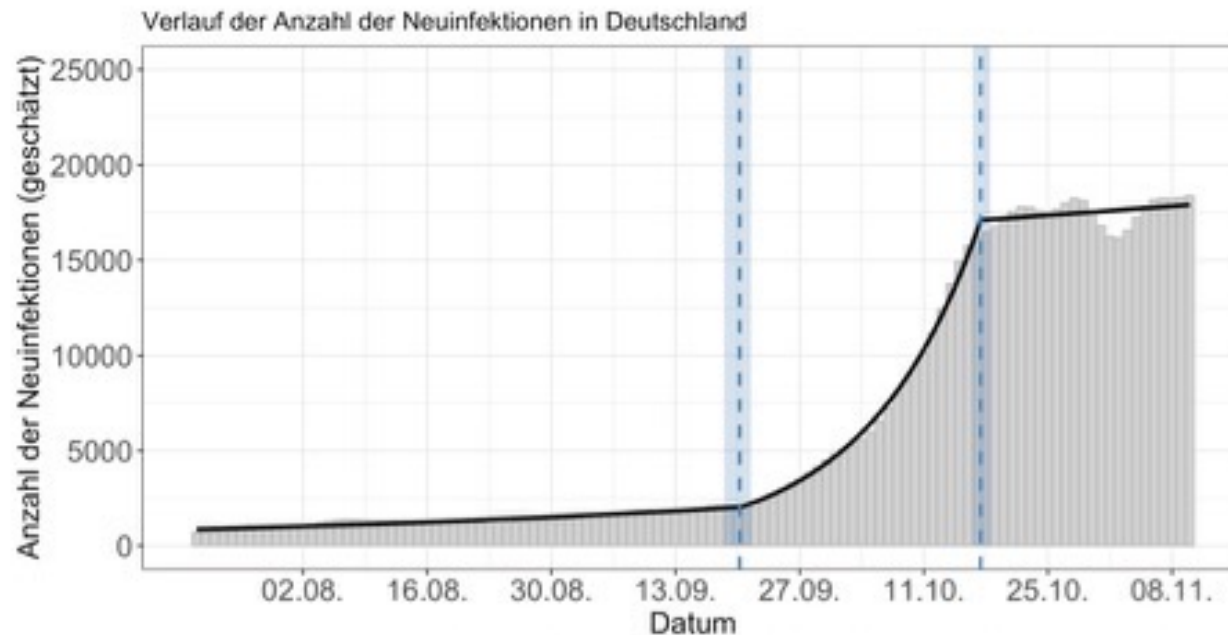
Fig. 7: Time course of the effective reproduction number up to 9.4.2020 (modified from An der Heiden and Hamoud 2020, RKI). The vertically dashed lines correspond to the cancellation of major events (9.3.), the federal-state agreement on the guidelines (16.3.) and the nationwide ban on contact (23.3.20). The low reproduction number that has already been achieved was only communicated to the public by Federal Health Minister Spahn on 17.4.2020 (vertical arrow).

Extended after Schrappe et al. 2020; TP 2. p.33

Reff versus CHANGE POINT ANALYSIS ...



Statistisches Beratungslabor StaBLab, LMU München; Department of Mathematics, Stockholm University
Daten: Robert-Koch-Institut RKI

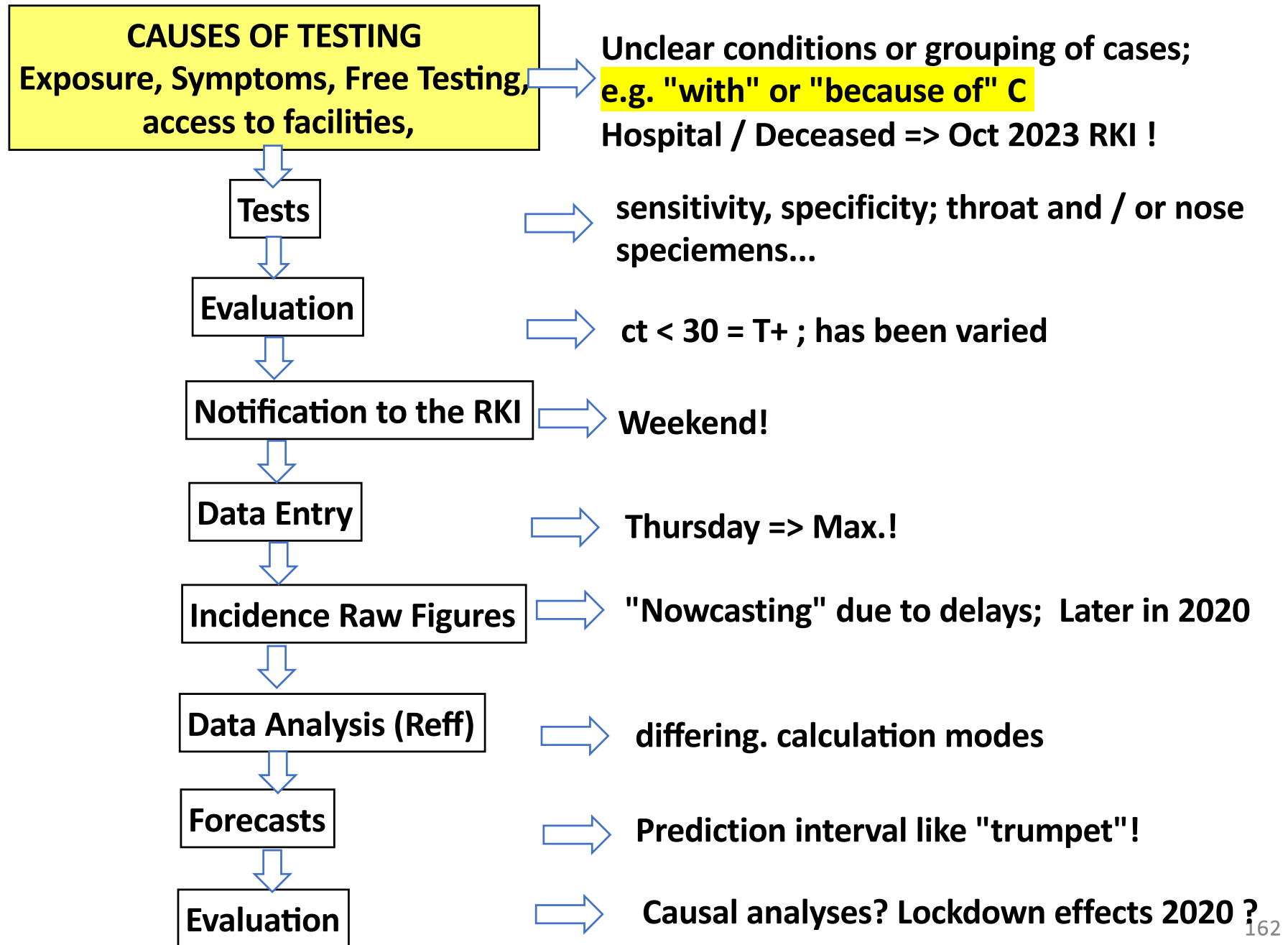


Statistisches Beratungslabor StaBLab, LMU München; Department of Mathematics, Stockholm University
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„Despite the limitations of the approach, we argue that it is advantageous and important to directly interpret the epidemic curve and the absolute number of cases, rather than indirect measures like the $R(t)$.“

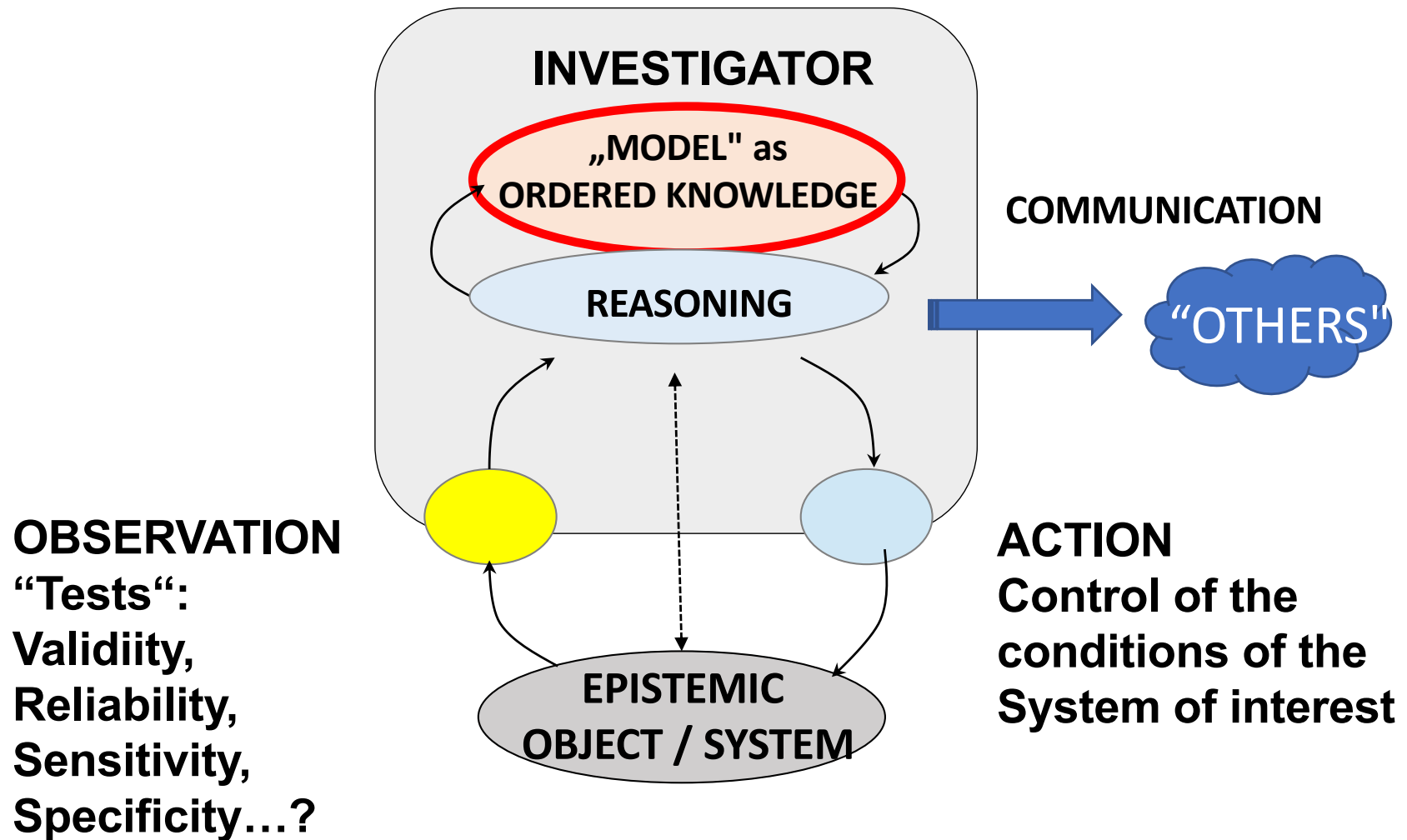
Küchenhoff H, Günther F, Höhle M, Bender A (2021). Analysis of the early COVID-19 epidemic curve in Germany by regression models with change points. *Epidemiology and Infection* 149, e68, 1–7.
<https://doi.org/10.1017/S0950268821000558>

TESTS AND TESTING AS A SOURCE OF VARIANCE (GENERATORS)

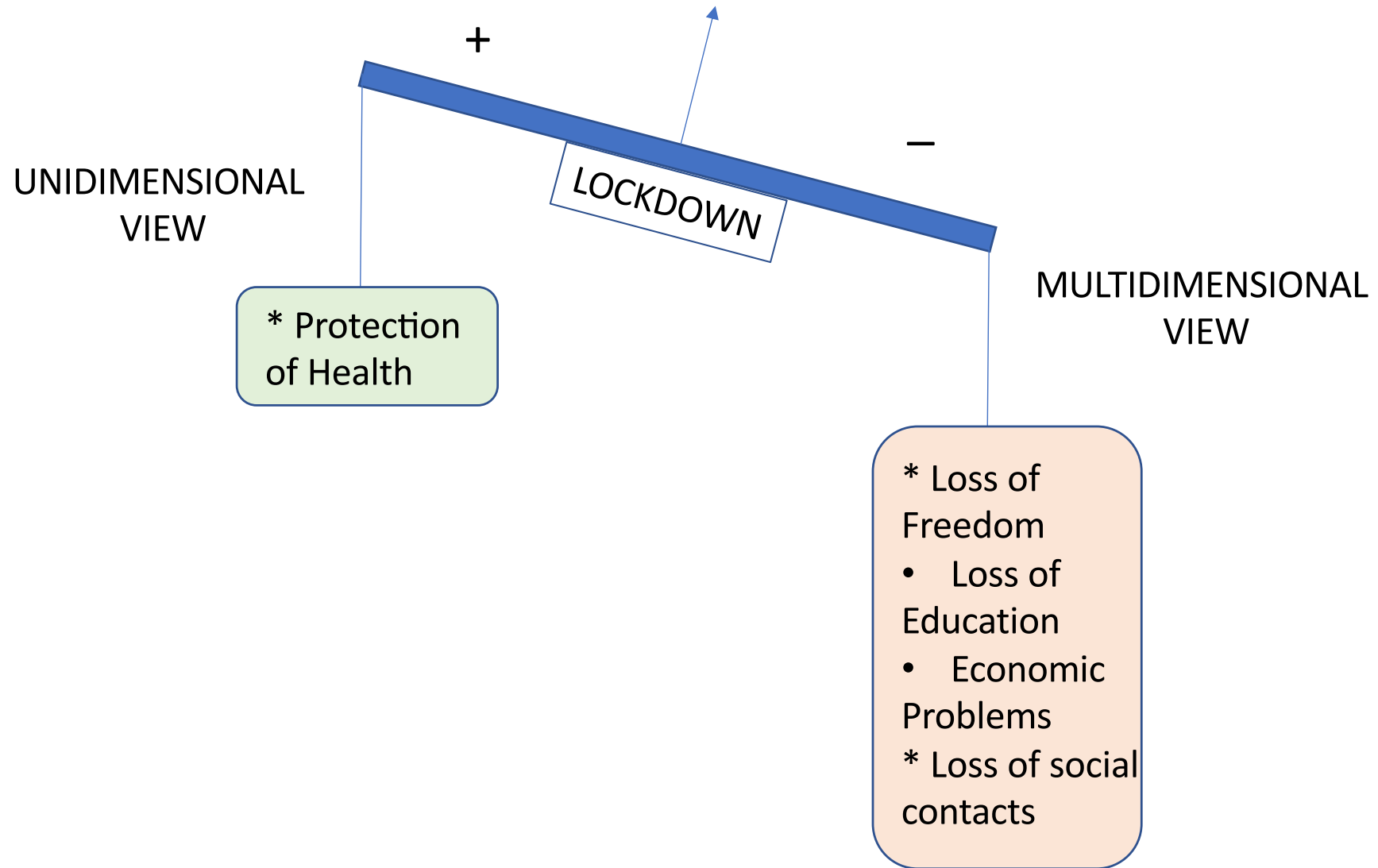


EPISTEMOLOGY: PROCESS MODEL

If the data are flawed, the conclusions AND the actions are also flawed ...



„FOLLOW THE EPIDEMIOLOGY“: LOCKDOWNS: positive biomedical and negative psychosocial effects



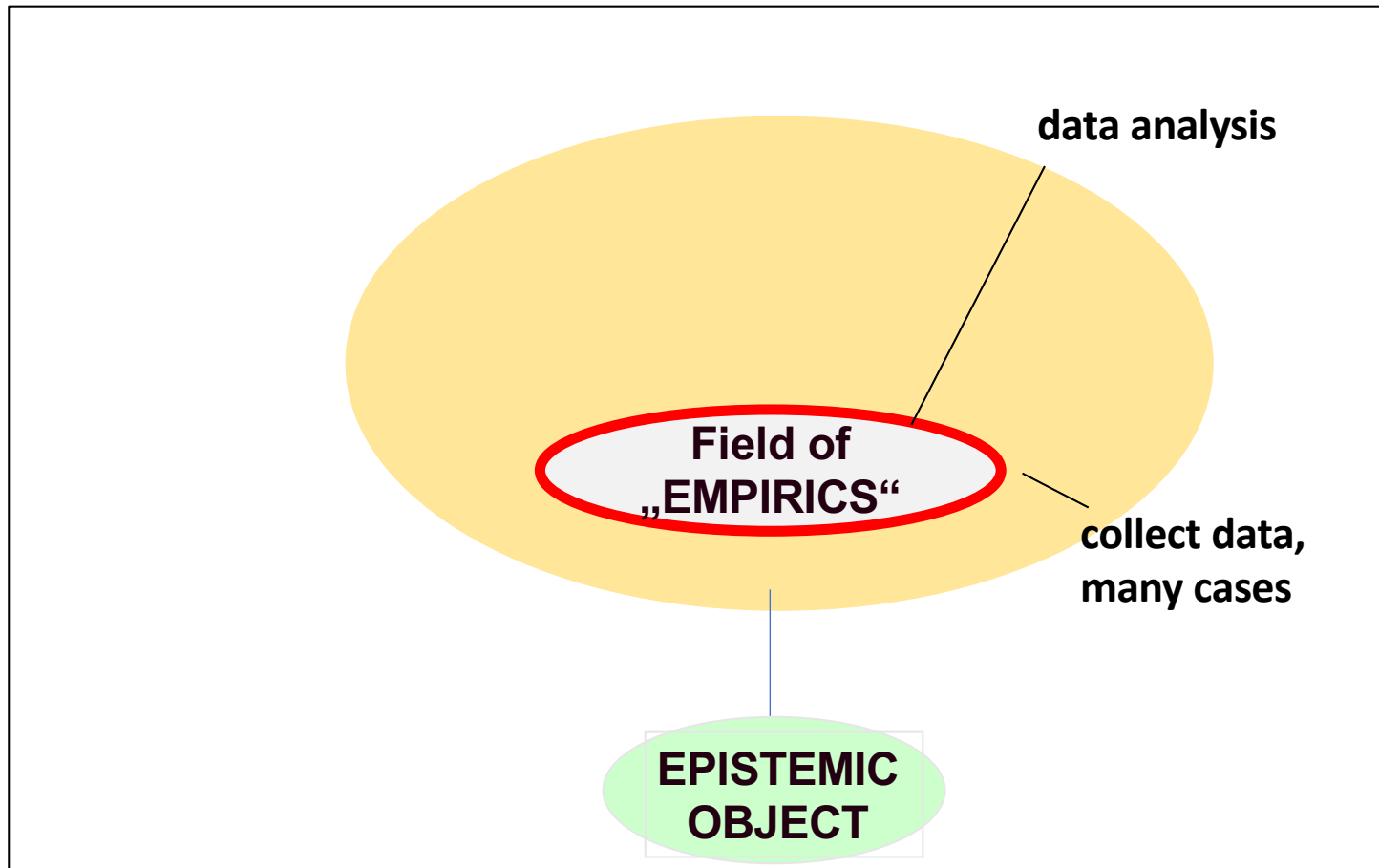
1. CORONA EPIDEMIOLOGY

1.2 Need for a Theoretical Epidemiology

Modes of intradisciplinary knowledge integration ?

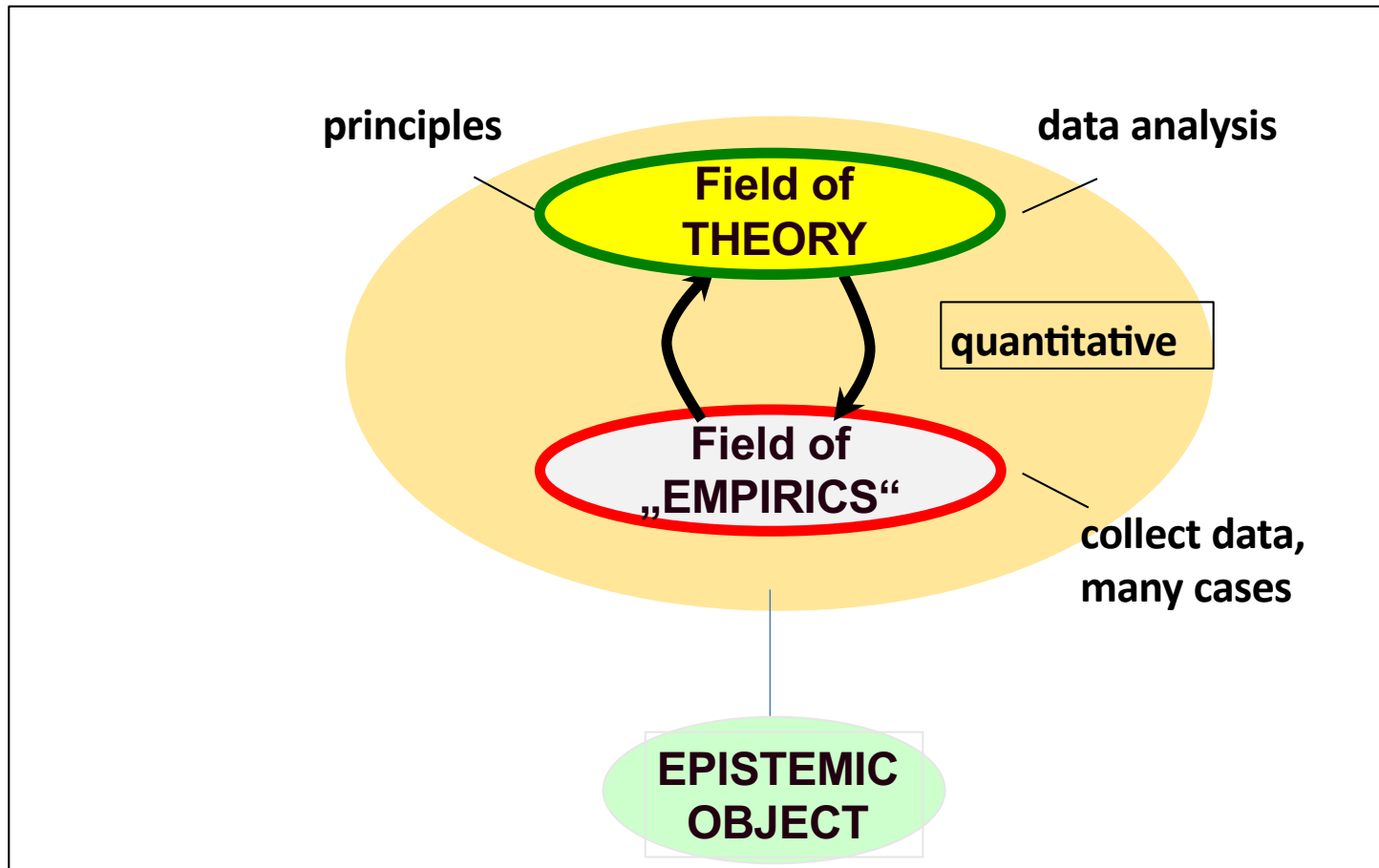
DOMINANCE OF EMPIRICAL RESEARCH

„new empiricism“ by Big data and „dataism“ ?



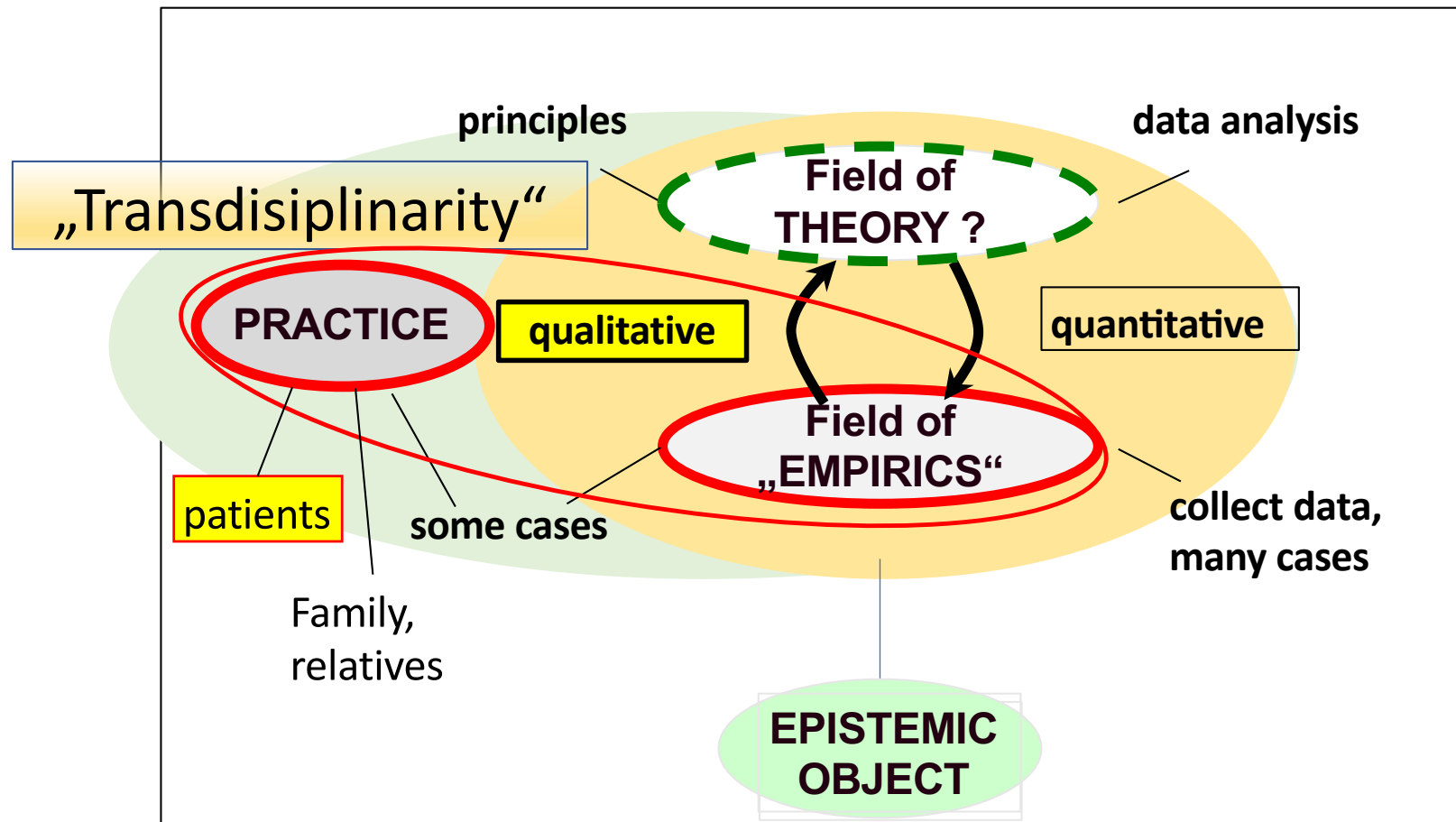
EPISTEMIC CYCLE OF SCIENCE/RESEARCH (Physics)

- from empirical observations to theory; and from Quality to Quantity (and back) -
comp.. M. Bunge 1998, F. Tretter 2005



EPISTEMIC CYCLE OF SCIENCE/RESEARCH (Medicine)

- from empirical observations to theory; and from Quality to Quantity (and back) -
comp.. M. Bunge 1998, F. Tretter 2005



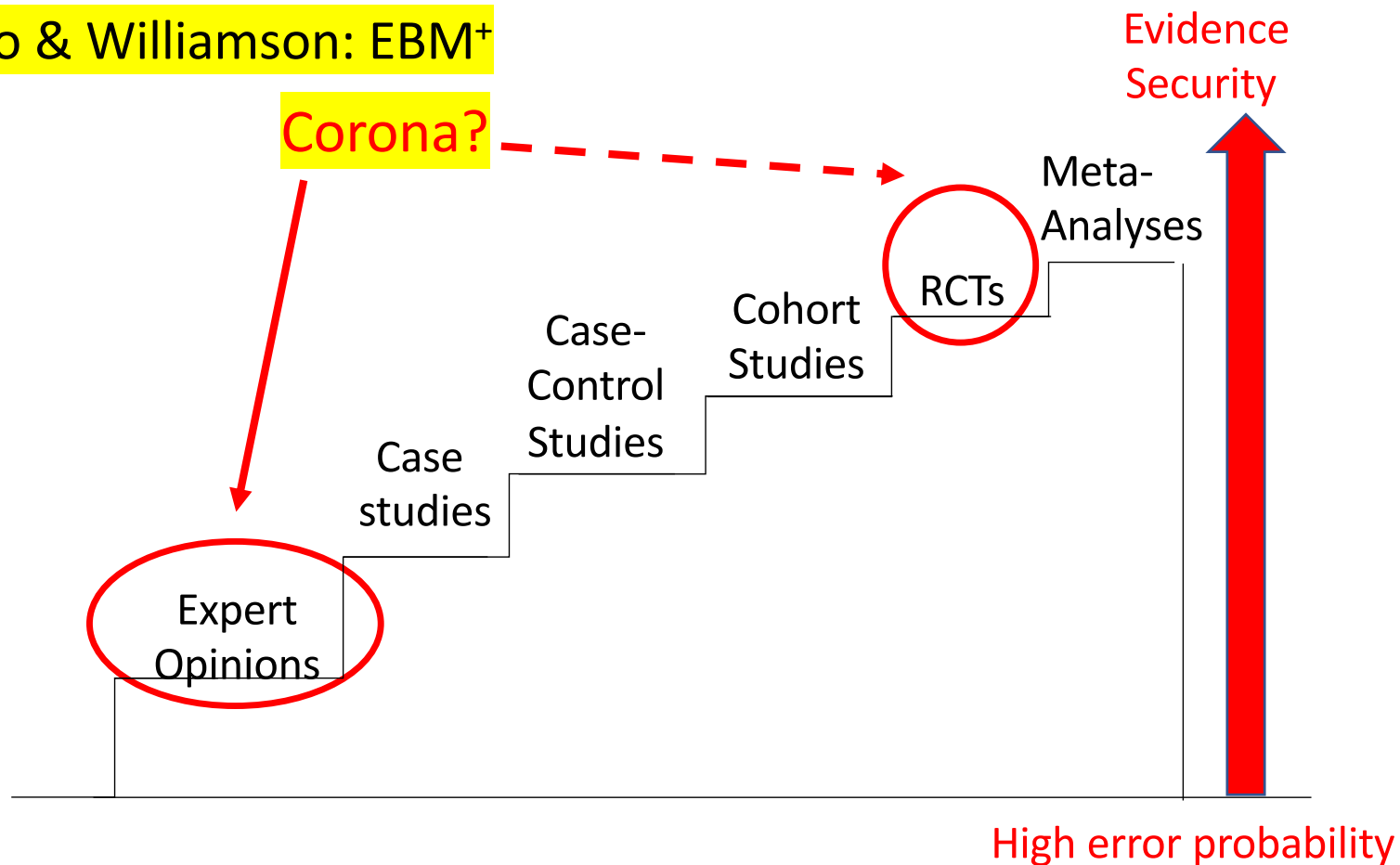
Source: Tretter 2022

KNOWLEDGE STAIR – EVIDENCE-BASED MEDICINE (EBM)

Corona: (Laboratroy)"Experts" dominated the stairway to truth...!?

S: Tretter 2022

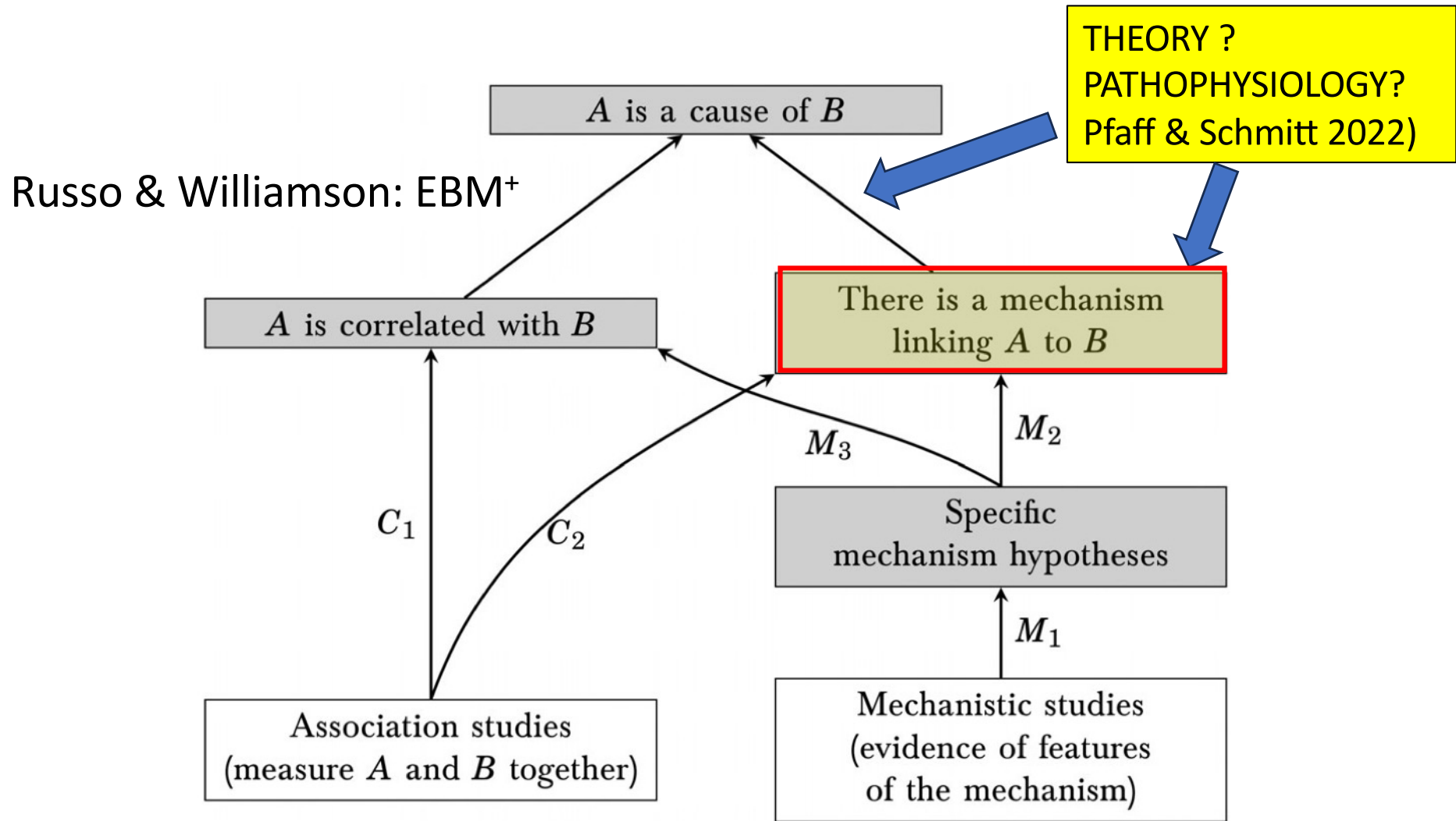
Russo & Williamson: EBM+



Medical knowledge according to evidence-based medicine – from the broad experience base with low certainty to few metaanalyses of randomized controlled trials(vgl. Sackett).

„Evidential relationships for establishing a causal claim“

Aronson, Jeffrey, Auker-Howlett, Daniel, Ghiara, Virginia, Kelly, Michael P and **Williamson, Jon** (2020) *The use of mechanistic reasoning in assessing coronavirus interventions*. Journal of Evaluation in Clinical Practice . ISSN 1356-1294.



EBM++: EVIDENCE PRODUCTION IN MEDICAL SCIENCE

Russo & Williamson 2007, 2011 , Pfaff & Schmitt 2021, Greenhalgh et al. 2023,)

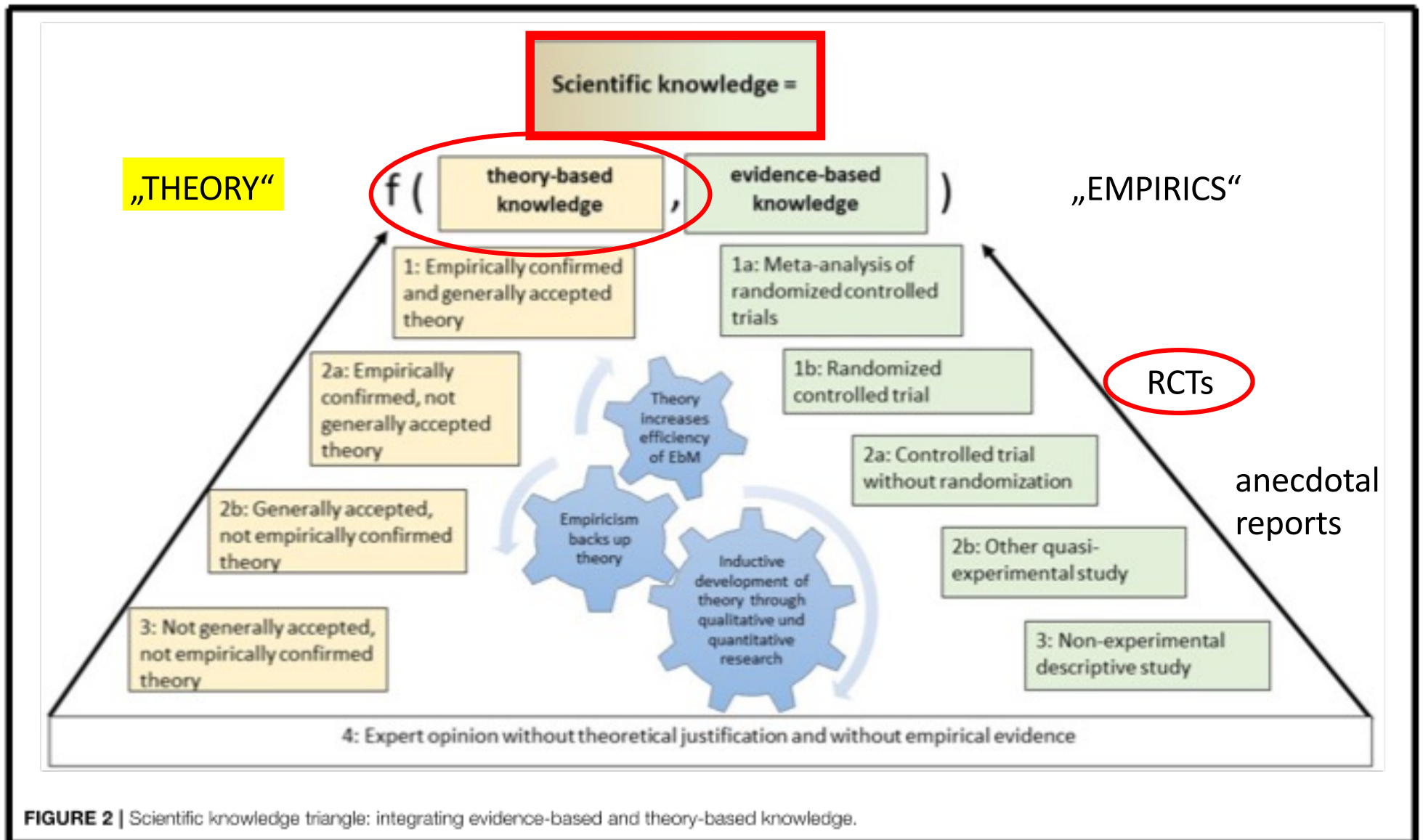


FIGURE 2 | Scientific knowledge triangle: integrating evidence-based and theory-based knowledge.

THEORY - THEORETICAL MODELS: their epistemic function

General:

- **order function for observations**
- = „laws“, „principles“, „rules“...
- E.g. :“Virus mutations mostly convert to lower pathogenity“

- $x \Rightarrow y$; „if x then y“; „y because of x“;

- $y = a \cdot x_1 + b \cdot x_2 + \dots + k \cdot x_n$
(hypothetical master equ.)

- theoretical terms

- hypotheses / conjectures

- conceptual framework

- **mechanistic models** (of a theory
(Machamer, Woodward, Wimsatt, Craver, Bechtel..))

- „ A theory is a well-substantiated explanation of an aspect of the natural world that can incorporate laws, hypotheses and facts. “

(American Museum of natural history:

<https://www.amnh.org/exhibitions/darwin/evolution-today/what-is-a-theory>)

- "Explaining" : deductive-nomological, mechanistic E.
(Craver, Machamer,...)

- "Understanding": interpreting, hermeneutics,
also: empathetic understanding...

- "Predictions" : ??

- **Heuristic function:** Generating new hypotheses

1. CORONA EPIDEMIOLOGY

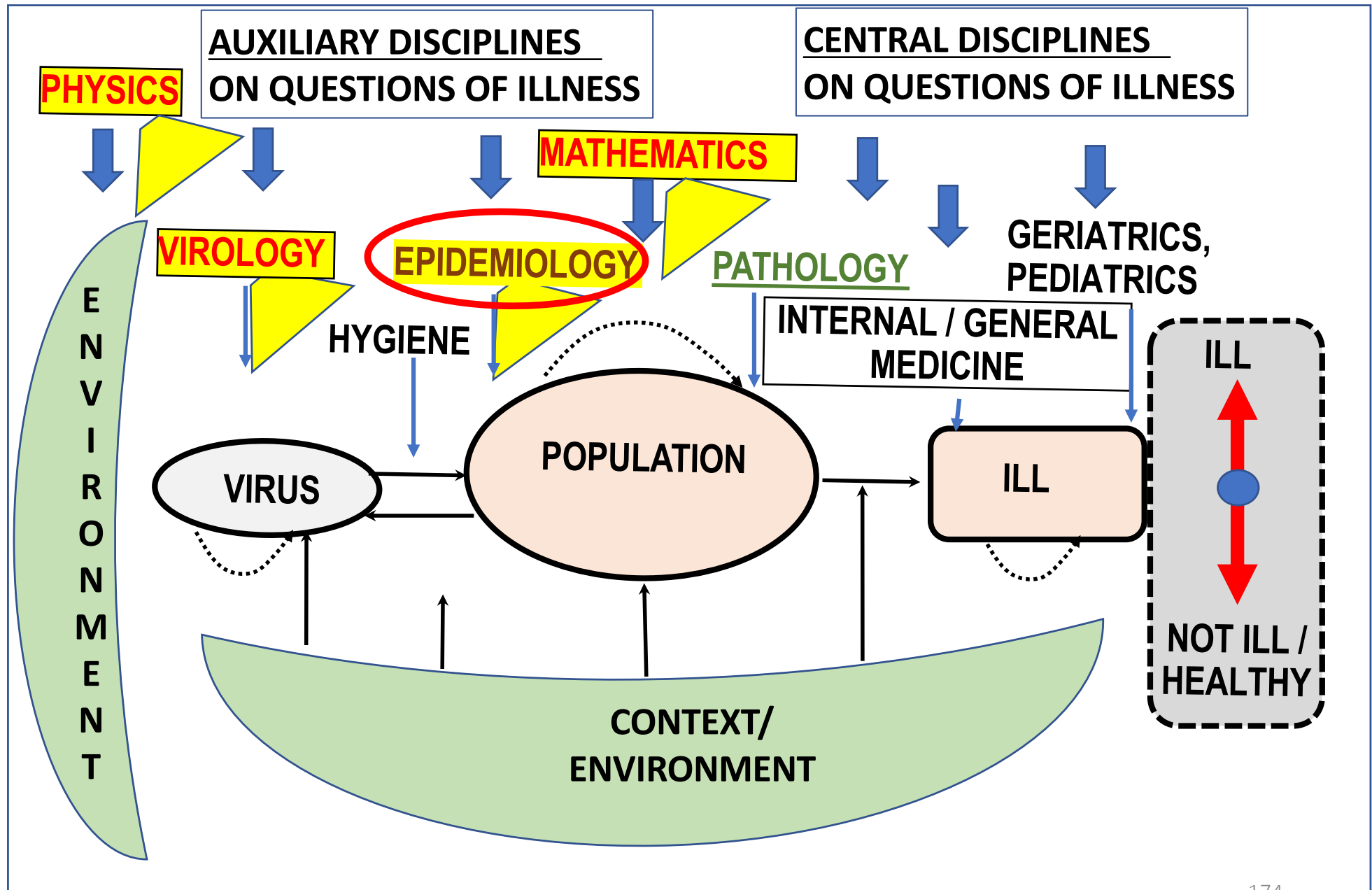
1.3 Inter-/Transdisciplinary Knowledge Integration

- **formal sciences, natural sciences, behavioral s. , social s. ...
& stakeholders -**

Hirsch Hadorn, G., Hoffmann-Riem, H., Biber-Klemmet, S., Grossenbacher-Mansuy W., Joye D., Pohl, C., Wiesmann, U., & Zemp, E. (Eds.) (2008). *Handbook of Transdisciplinary Research*. Leipzig: Springer

Schmidt, J.C.: *Philosophy of Interdisciplinarity. Studies in Science, Society, and Sustainability*. Routledge, London and New York, 2022,

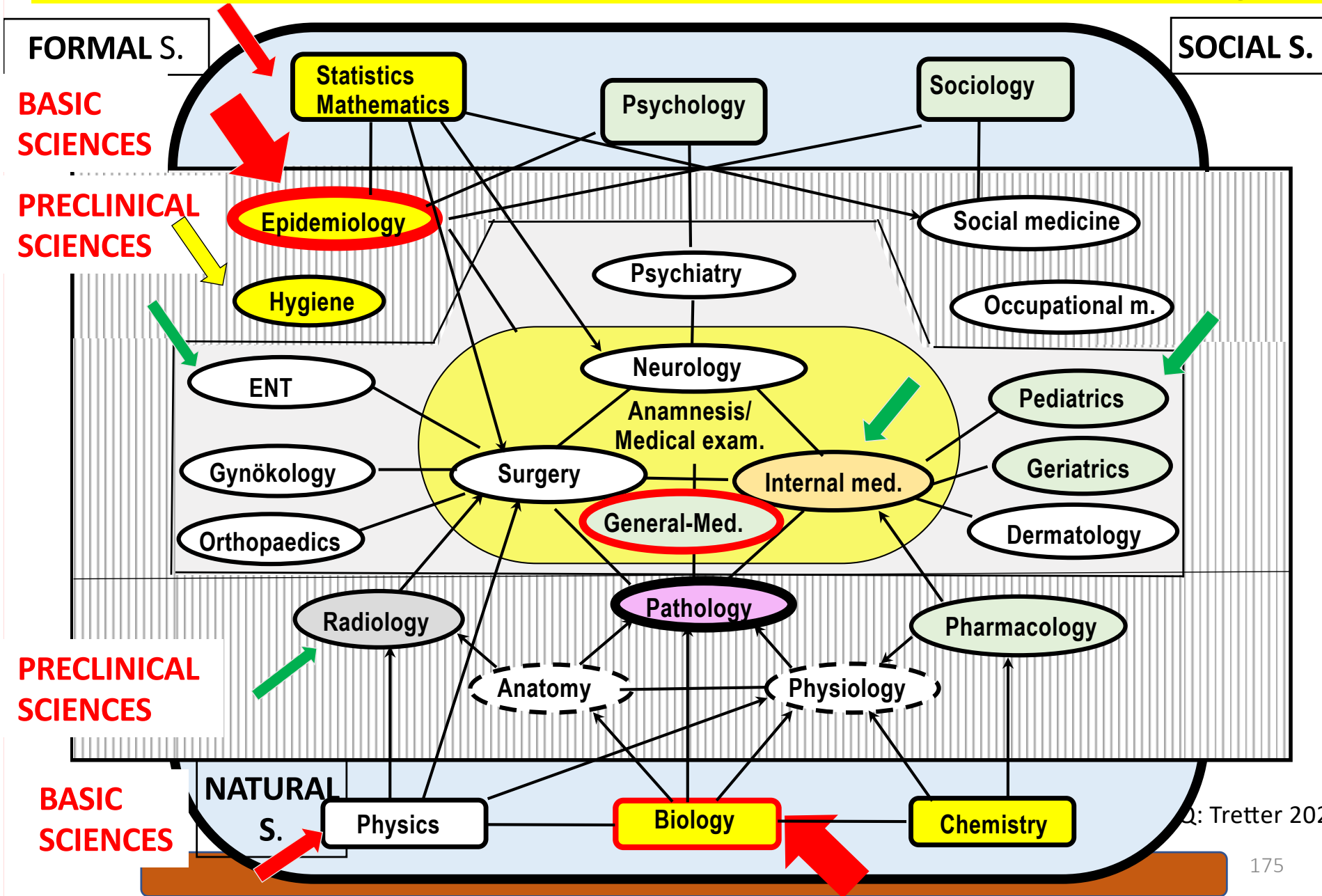
CORONA SCIENCE ? - "undisciplined extradisciplinarity" ?



The fragmented architecture of the „HOUSE OF MEDICINE“:

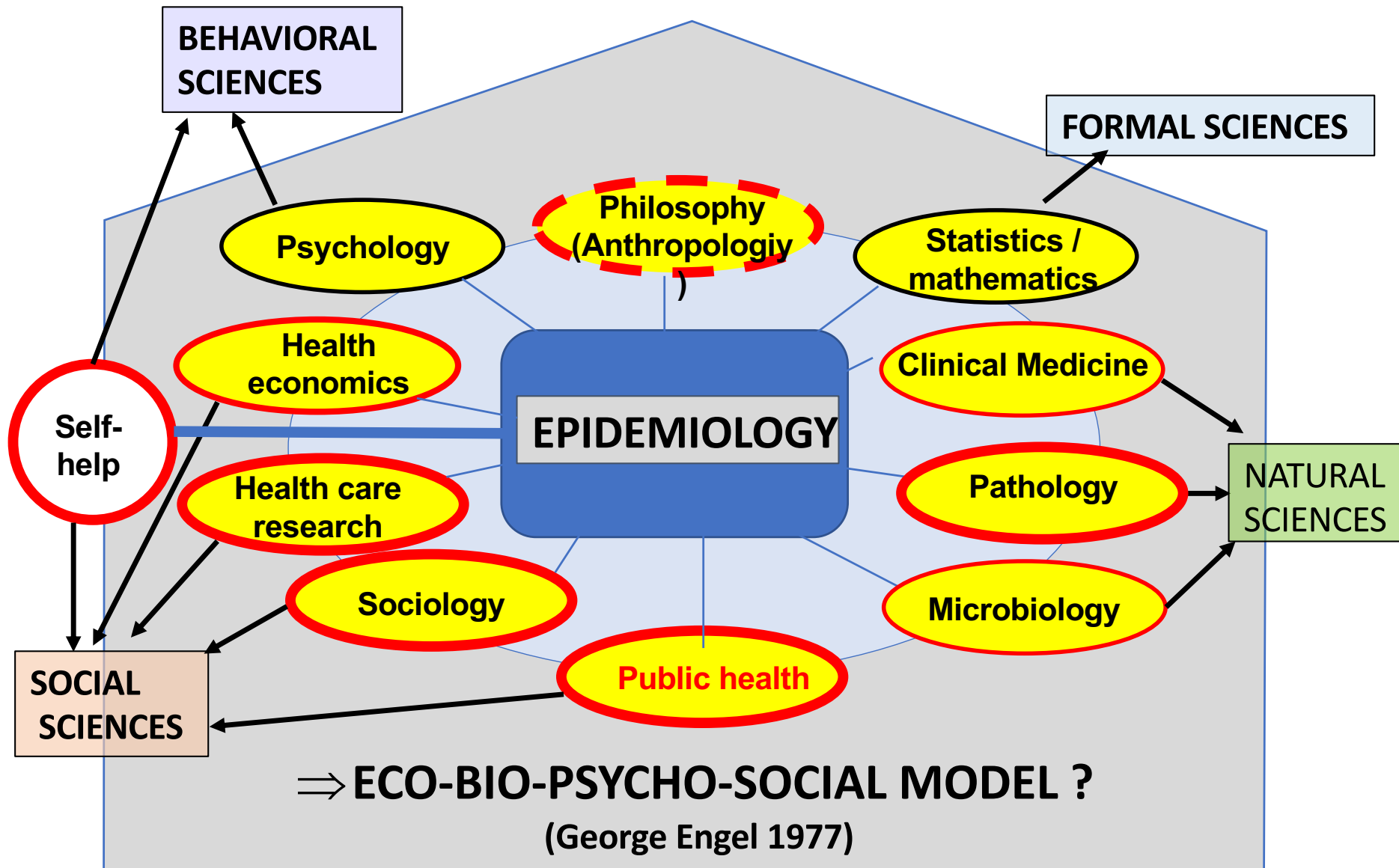
AUXILIARY / BOUNDARY SCIENCES of COVID-19

=> reduction („inner circle“; PATHOLOGY, INTERNAL MEDICINE & CO) or integration ?

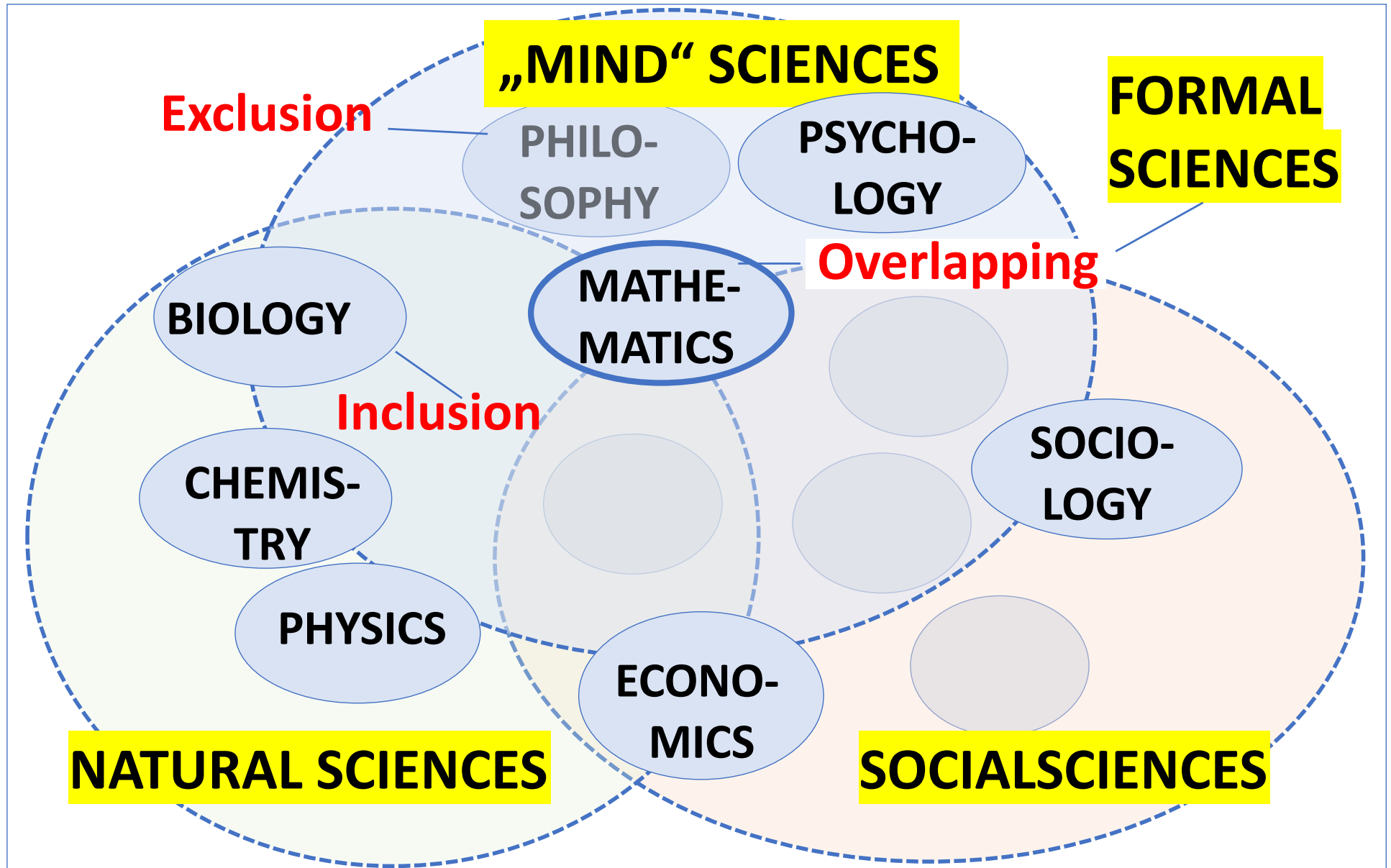


Q: Tretter 2022

EPIDEMIOLOGY - Aspects / Dimensions / Issues / contexts
- Epistemologies of different disciplines -



INTEGRATION OF THE SCIENCES – WHO... WHOM.. WHY..?



2. SOCIAL / HUMAN ECOLOGY and EPIDEMIOLOGY

PROPOSITION #2

An epidemic / pandemic is a natural AND cultural phenomenon

=> **Social & spatio-temporal issues**

=> Geographical and social perspectives....

⇒ **Social Ecology / Human Ecology / Chicago School**

- **Men-Environment-Relationship** (H. Knötig; B. Glaeser)
- **„Systemic Sustainability Science“** (R. Dyball & B. Newell)

* **Social Metabolism** ("Social Ecology"/Vienna; M. Fischer-Kowalski)

* **Social-Nature-Relationship** ("Social Ecology"/ Frankfurt; E. Becker & T. Jahn)

Epistemology:

⇒ Knowledge integration is necessary: natural & social sciences et al.

⇒ also transdisciplinarity: laymen stakeholders! (e.g. patients...)

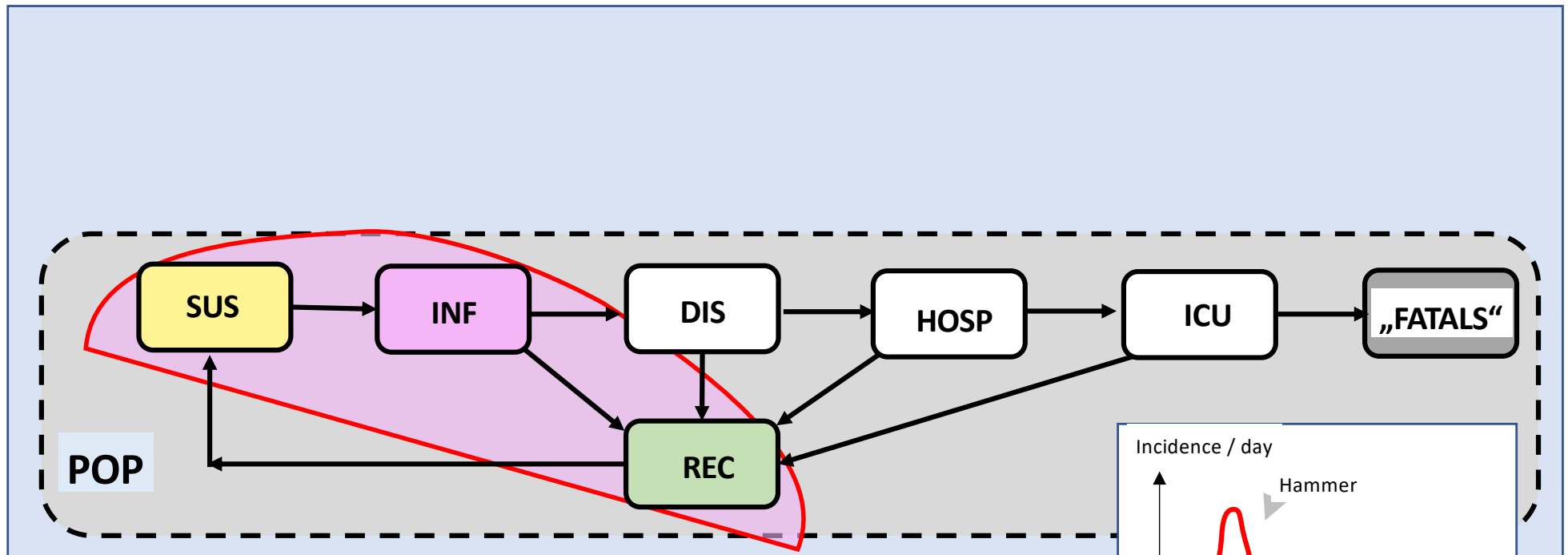
Rem.: Social = Society, Institutions/Organisations, Groups...

SYSTEM MODELS & POPULATION – numbers without theory ?:

From S-I-R model to S-(E-C)-I-D-H-C-R-F- Model

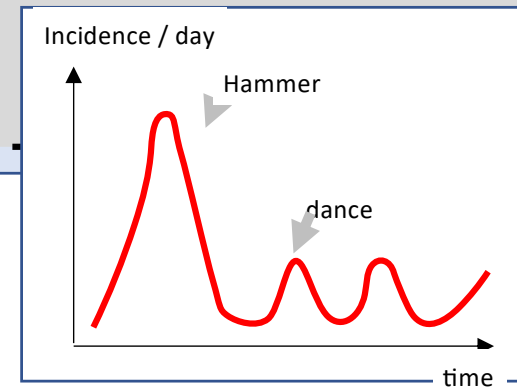
(e.g. Meyer-Hermann-Group; Helmholtz Inst., Braunschweig)

Compartment model



(non-linear) differential equations, but too simple conceptualization !

HC & **Sociocultural variables** not explicitly integrated!



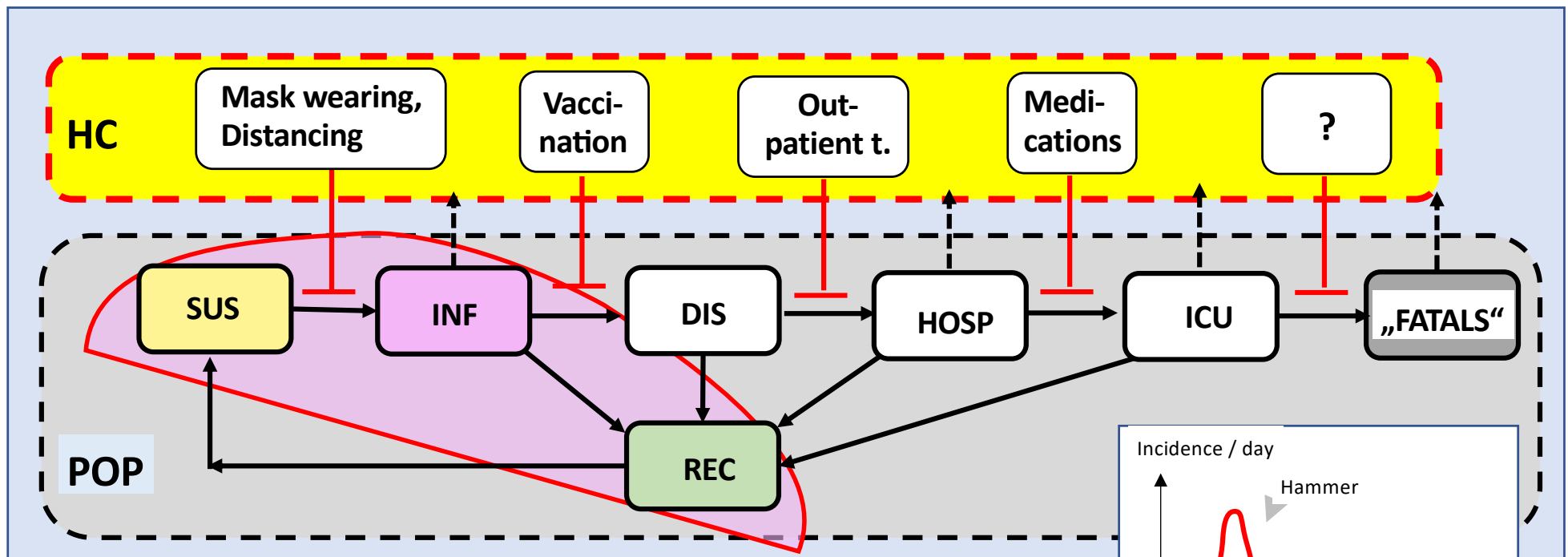
Source: F. Tretter 2022

SYSTEM MODELS & POPULATION – numbers without theory ?:

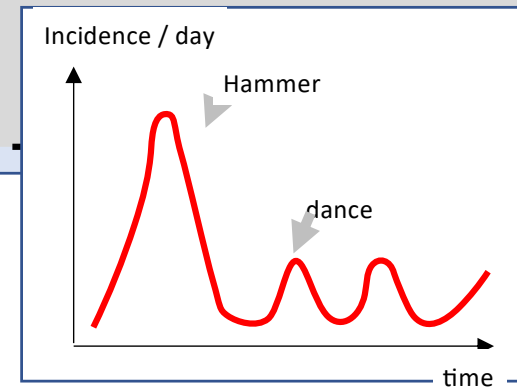
From S-I-R model to S-(E-C)-I-D-H-C-R-F- Model

(e.g. Meyer-Hermann-Group; Helmholtz Inst., Braunschweig)

Compartment model (and implicit moderator variable by HC system)



(non-linear) differential equations, but too simple conceptualization !



HC & **Sociocultural variables** not explicitly integrated!

Source: F. Tretter 2022

PUBLIC HEALTH/EPIDEMIOLOGY & SOCIAL-ECOLOGICAL MODELS

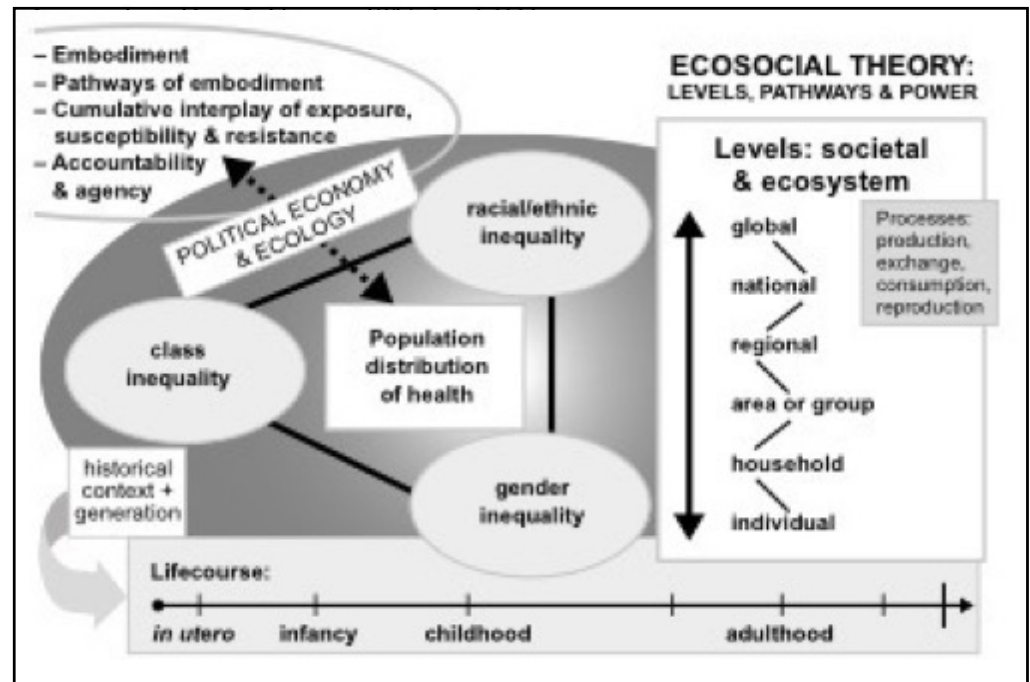
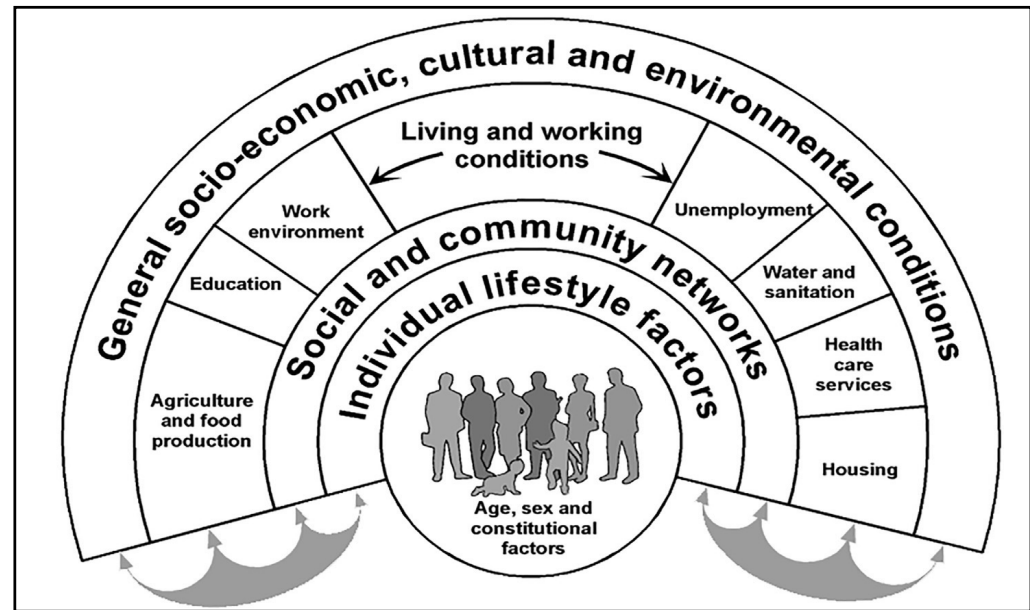
Dahlgren G, Whitehead M. The Dahlgren-Whitehead model of health determinants: 30 years on and still chasing rainbows. Public Health. 2021 Oct;199:20-24. doi: 10.1016/j.puhe.2021.08.009. Epub 2021 Sep 14. PMID: 34534885.

Rainbow model:
The main determinants of health.

Krieger N. Theoretical frameworks and cancer inequities. In: Vaccarella S, Lortet-Tieulent J, Saracci R, Conway DI, Straif K, Wild CP, editors. Reducing social inequalities in cancer: evidence and priorities for research. Lyon (FR): International Agency for Research on Cancer; 2019. Chapter 8.. PMID: 33534476.

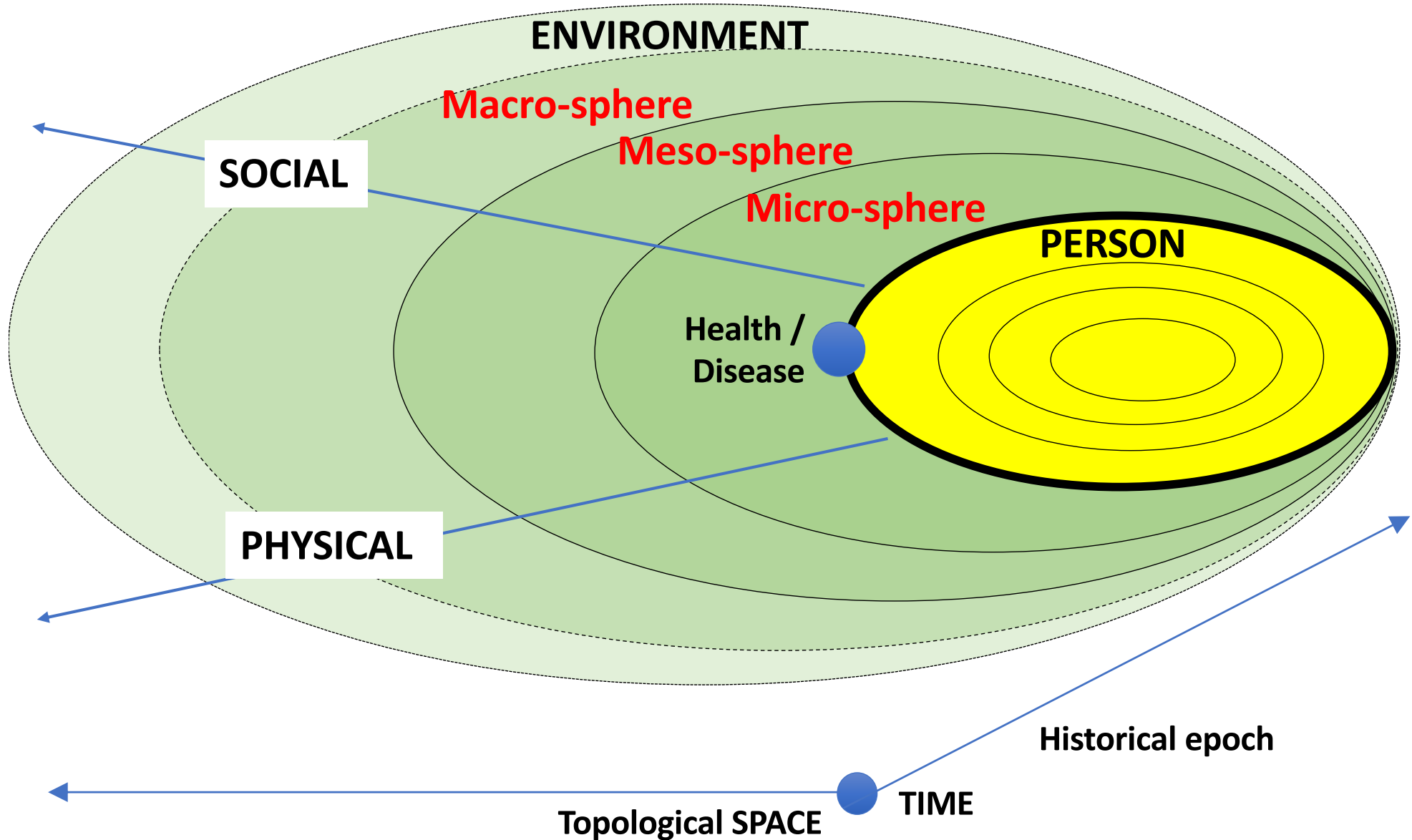
Ecosocial model:
Societal and ecological context;

- historical generation;
- spatiotemporal scales
- levels of analysis;
- life-course
- patho-genesis; and
- diverse forms of inequitable
- political economy,
- racism,
- class,
- sex, and
- sexuality
- relationships within and between countries,



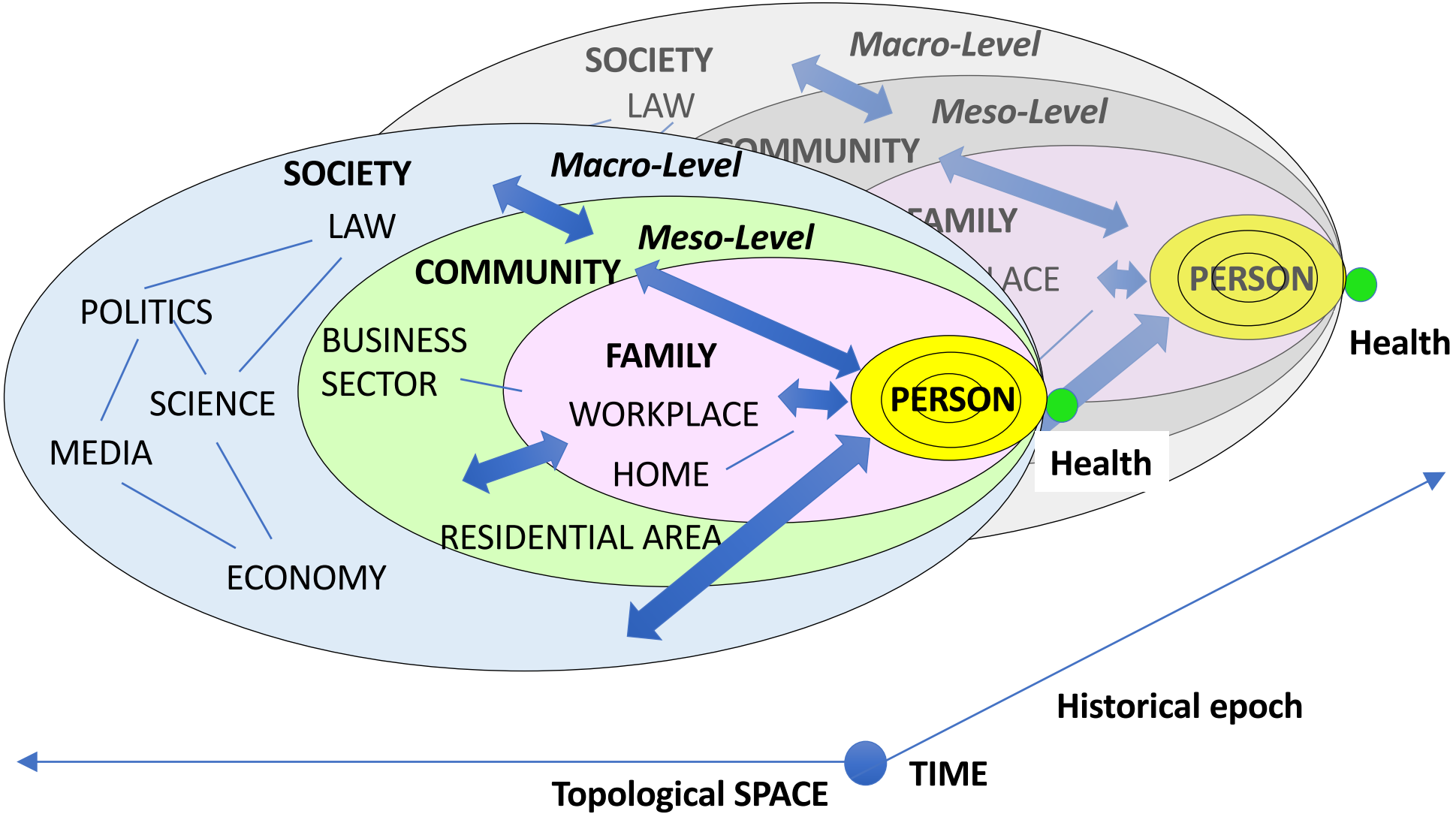
HUMAN ECOLOGICAL FRAMEWORK

Disease as result of men-environment relations

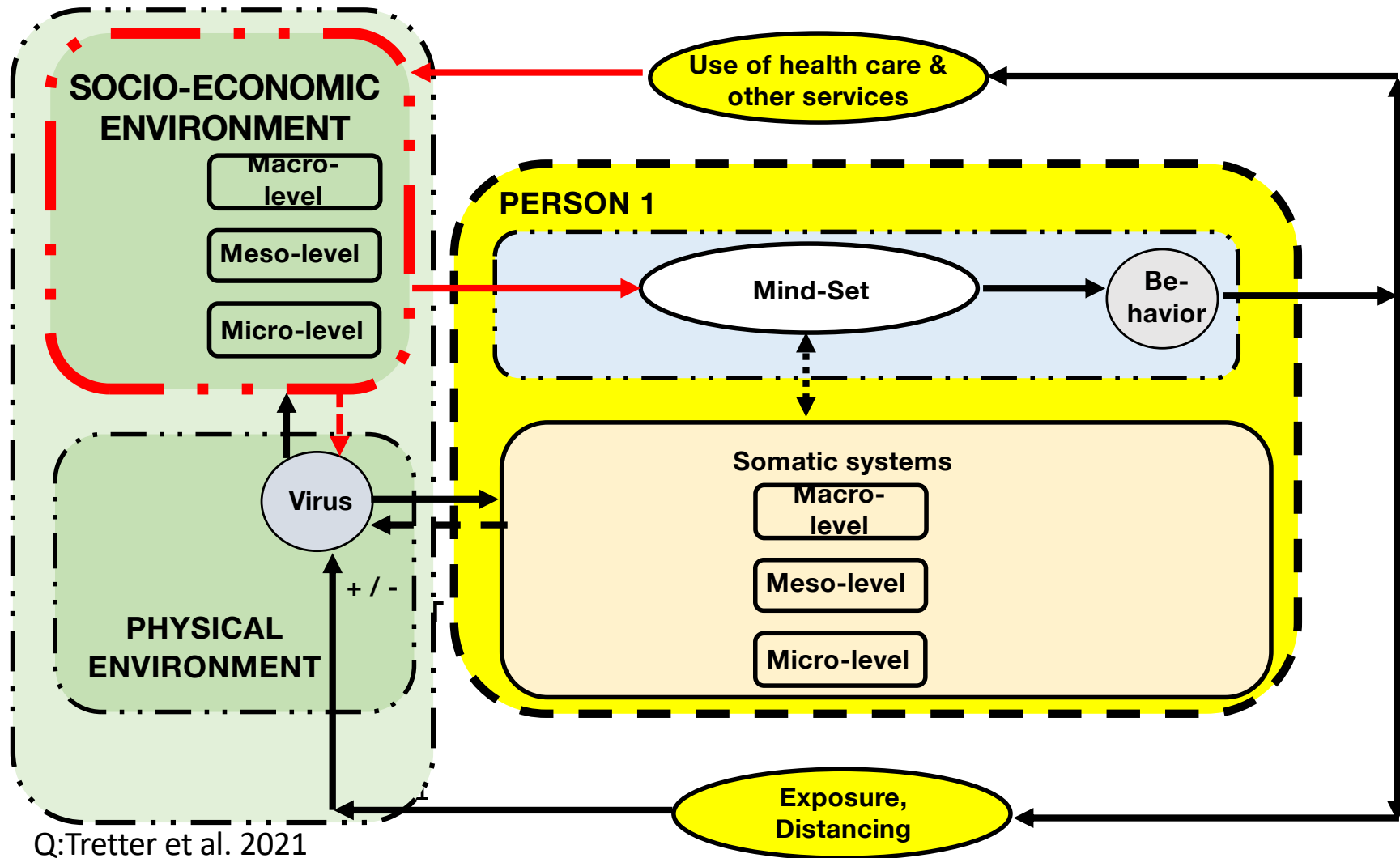


HUMAN ECOLOGICAL FRAMEWORK

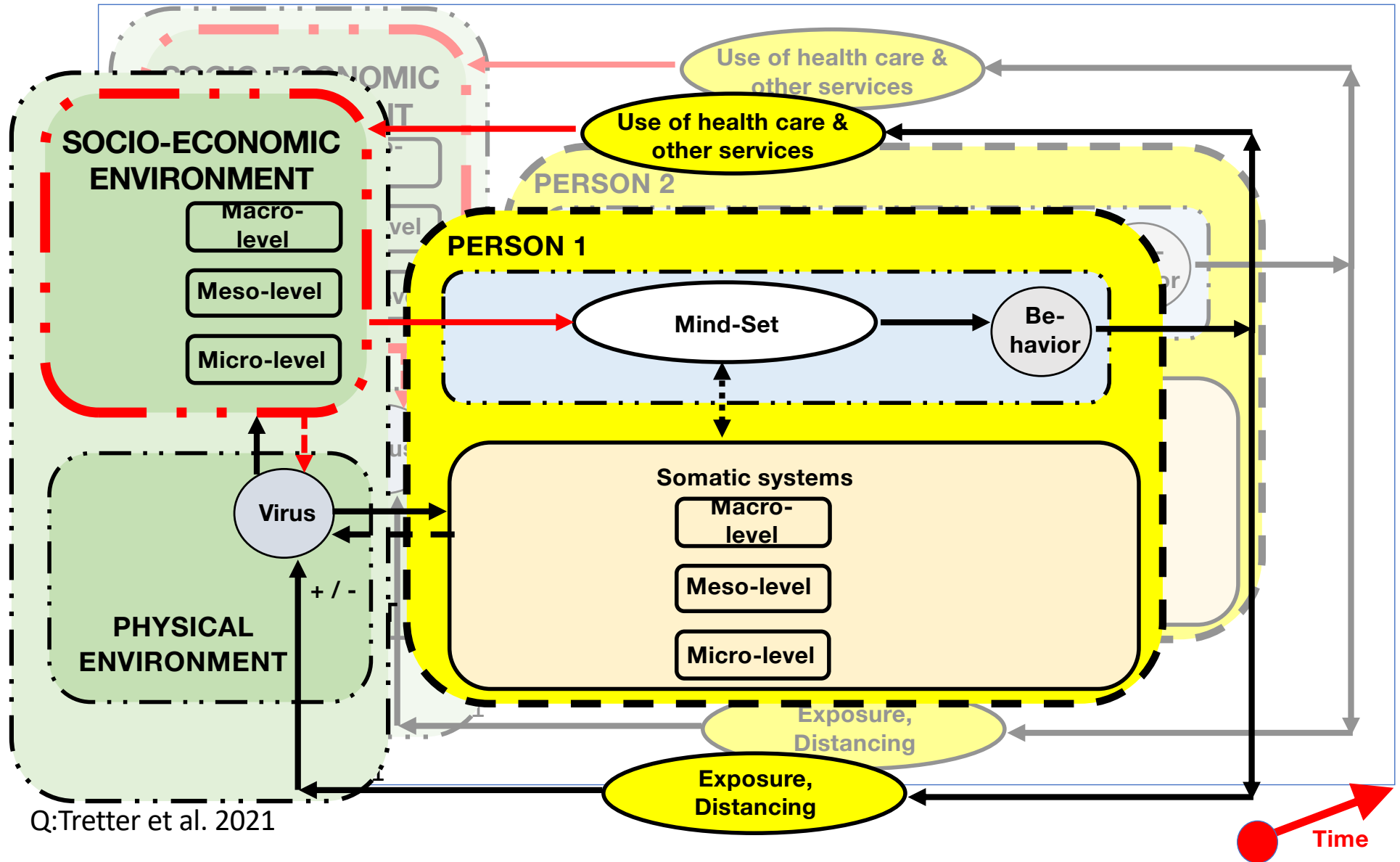
Disease as result of men-environment relations



ECO-SYSTEMS MEDICINE: MULTI-LEVEL MODEL



SYSTEMS MEDICINE: ECOLOGICAL MULTI-LEVEL MODEL



Q:Tretter et al. 2021

3. SYSTEMS SCIENCE:

Bridge over troubled water ?

METHODS AND MODELS

Complexity of variables and their relations

PROPOSITION #3

An epidemic is a complex dynamic system

(T. Greenhalgh, H. Rutter, etc.)

⇒ **Systems Science** should be used!

(J. Forrester, D.&D. Meadows, J. Sterman, etc.; „Systems Dynamics“/MIT)

- Integrated multi-level view on complex processes

- **Methodology of stepwise modeling** (circular process)

1. Observations (plurality by different stakeholders)
2. Data set,
3. Verbal formulation of contexts and principles (dynamic equilibrium),
4. **Graphical model**
5. Formal description,
6. Computer simulation
7. => back to data

- Knowledge base: Pool of models

„SYSTEMS“ EPISTEMOLOGY / METHODOLOGY / THINKING / MODELLING

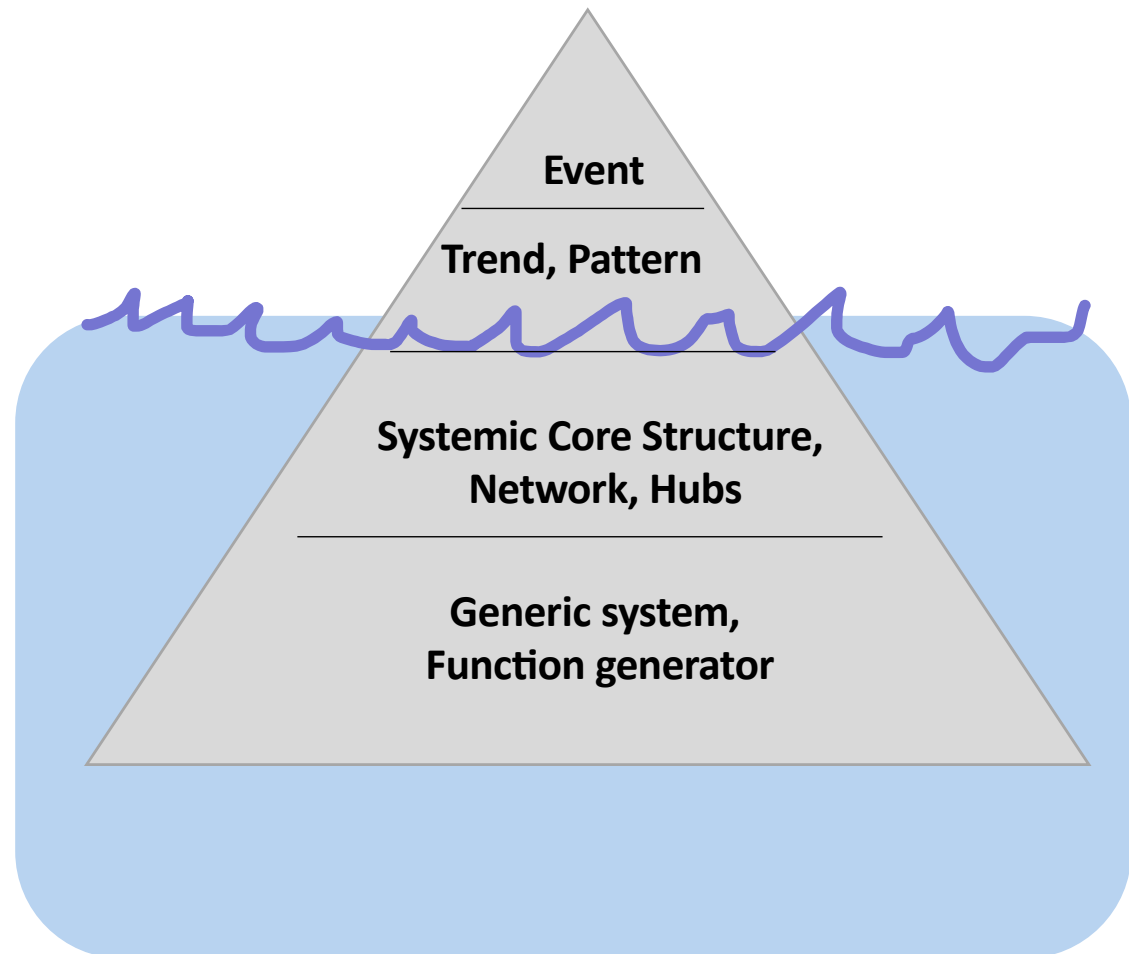
Epistemology:

(see v. Bertalanffy,
v. Foerster, Pouverau,
Bunge)

- constructivism,
- holism /
- complexity issues
- multiperspectivity
(ID + TD),
- circular causality
- contextualism

ICEBERG OF SYSTEMIC EPISTEMOLOGY

(comp. P. Senge)



Source: Tretter 2022

MULTIVARIATE ANALYSIS: LATENT STRUCTURE APPROACH

VanderWeele, T.J. (2022). [Constructed measures and causal inference: towards a new model of measurement for psychosocial constructs](https://journals.lww.com/epidem/Fulltext/2022/01000/Constructed_Measures_and_Causal_Inference__Towards.17.aspx). *Epidemiology*, 33:141-151.
https://journals.lww.com/epidem/Fulltext/2022/01000/Constructed_Measures_and_Causal_Inference__Towards.17.aspx

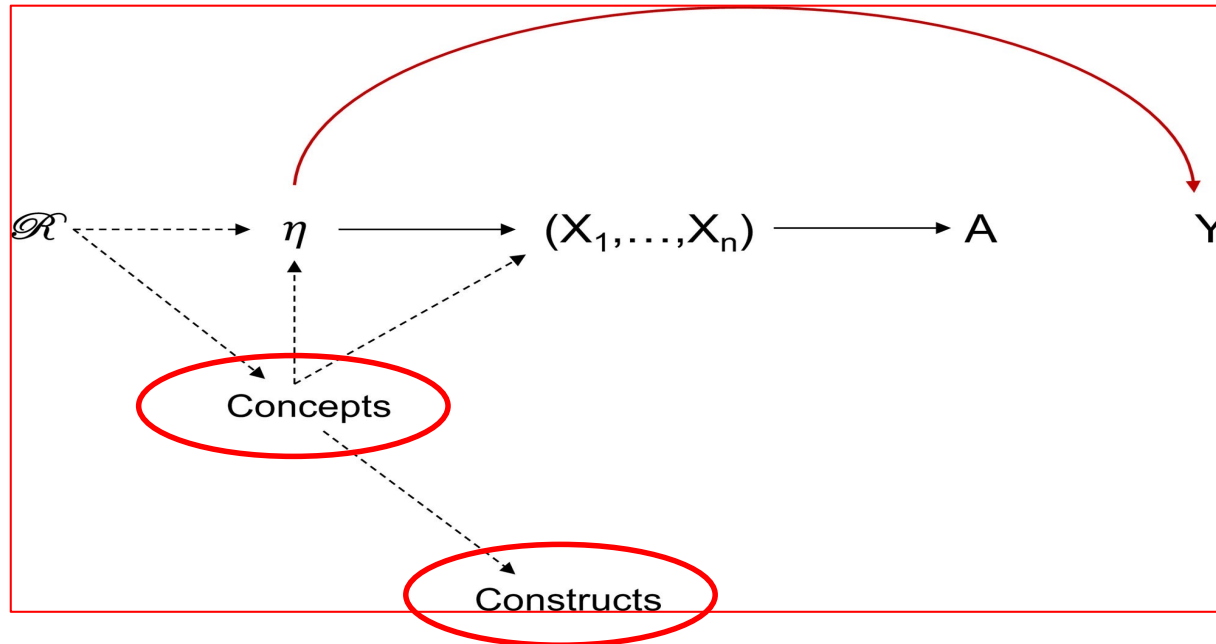
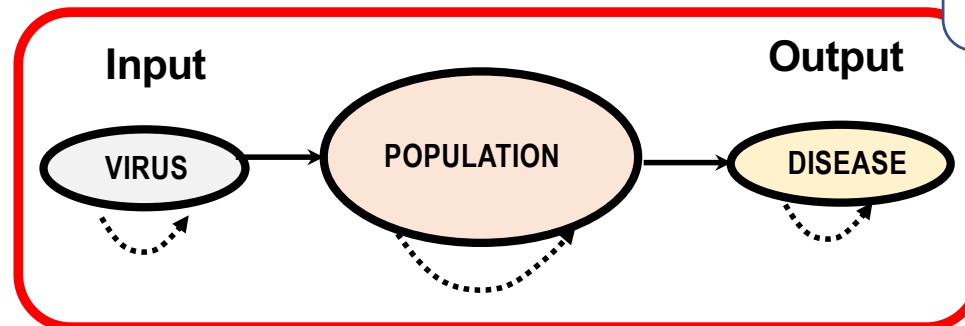
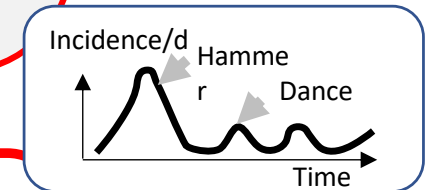
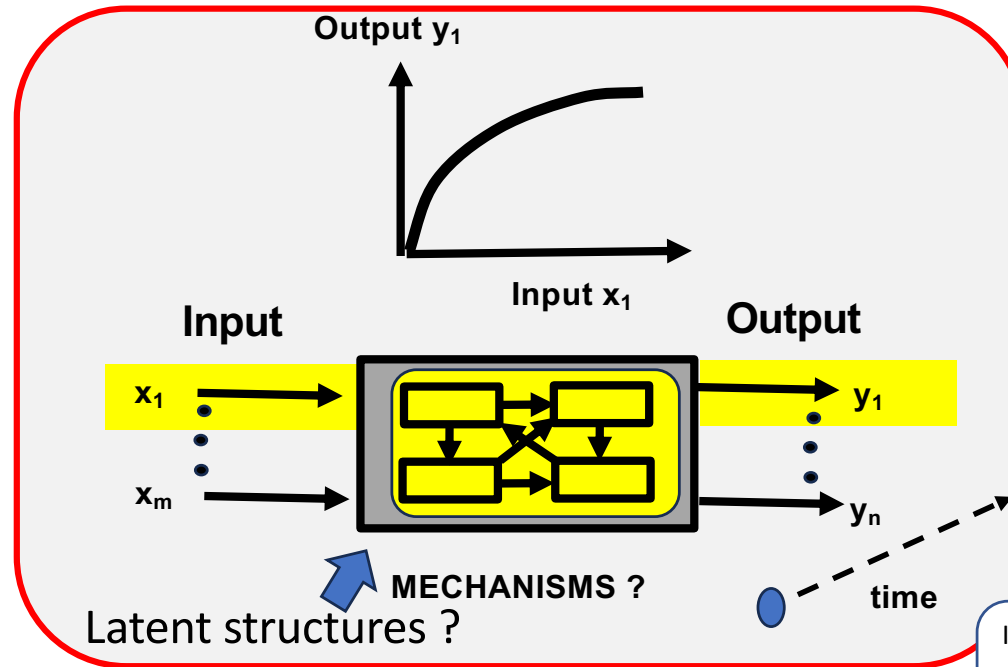


Fig. 8: A proposed new model of measure construction wherein complex underlying reality, R contains certain aspects of this reality (represented by the multidimensional variable η) relevant to the construct. These relevant aspects of reality give rise to a set of observed indicators (X_1, \dots, X_n), from which we form a measure A (The dotted arrows, while in some sense causal, correspond to those relations that are not explicitly between variables.).

SYSTEMS METHODS: (CONTEXTUALIZED) BLACK BOX METHODOLOGY

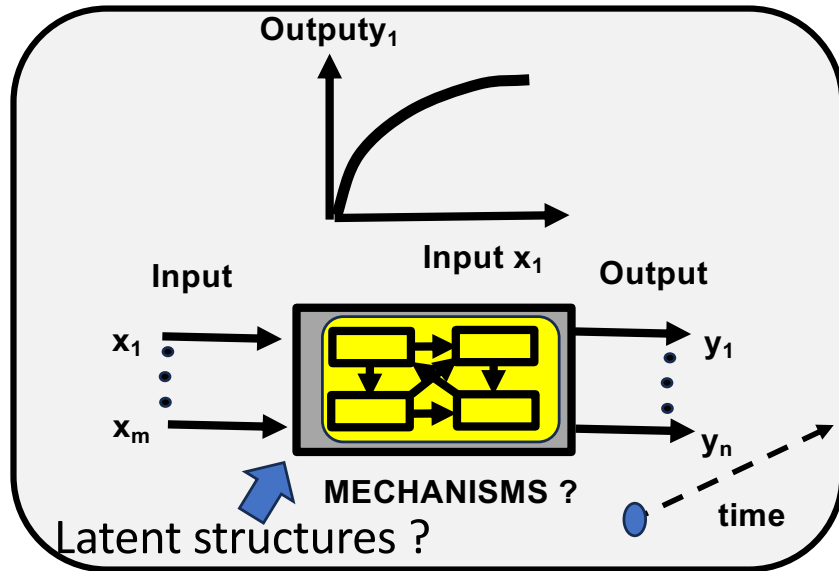
* Variation of inputs by **experiments** (RCTs) result in difference of outputs?

* Difference of inputs by **comparison** correspond to difference of outputs? (urban / regional epidemiology)

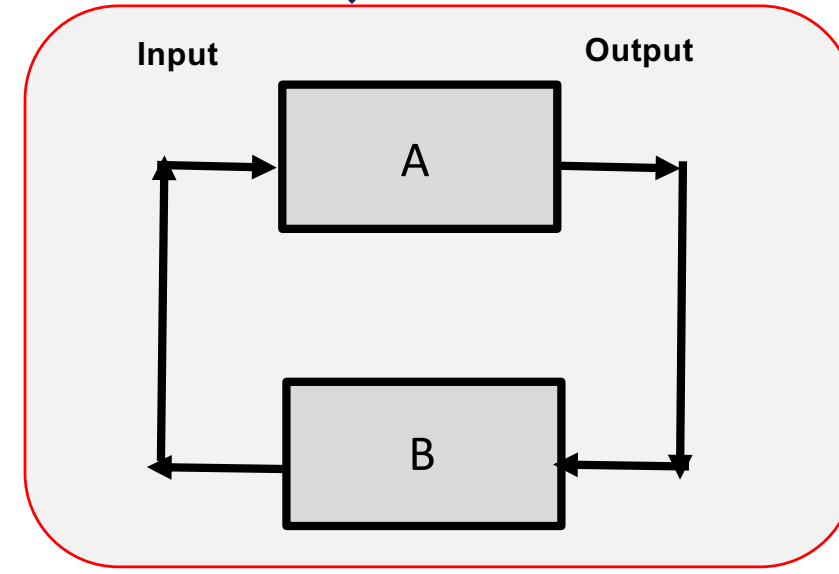
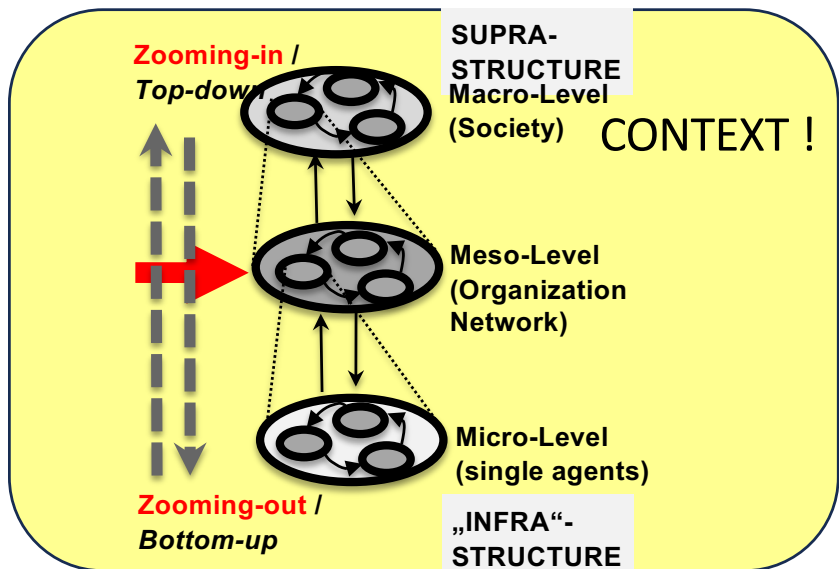
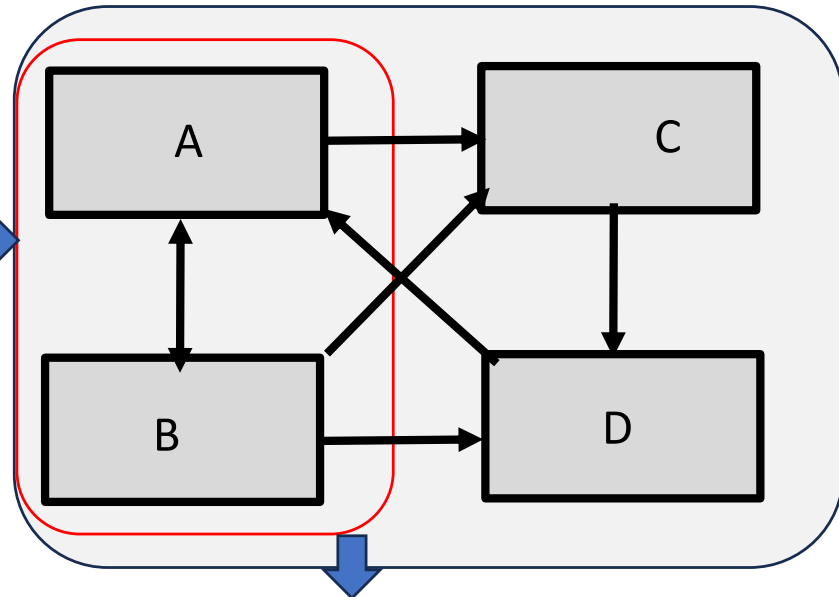


SYSTEMS METHODS AND MODELS

BLACK BOX METHODOLOGY



NETWORK MODEL

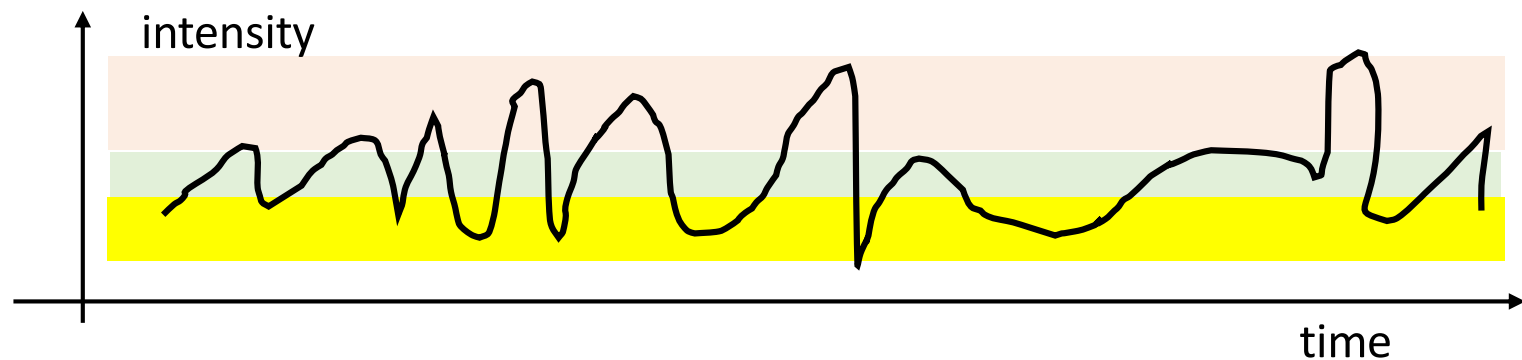
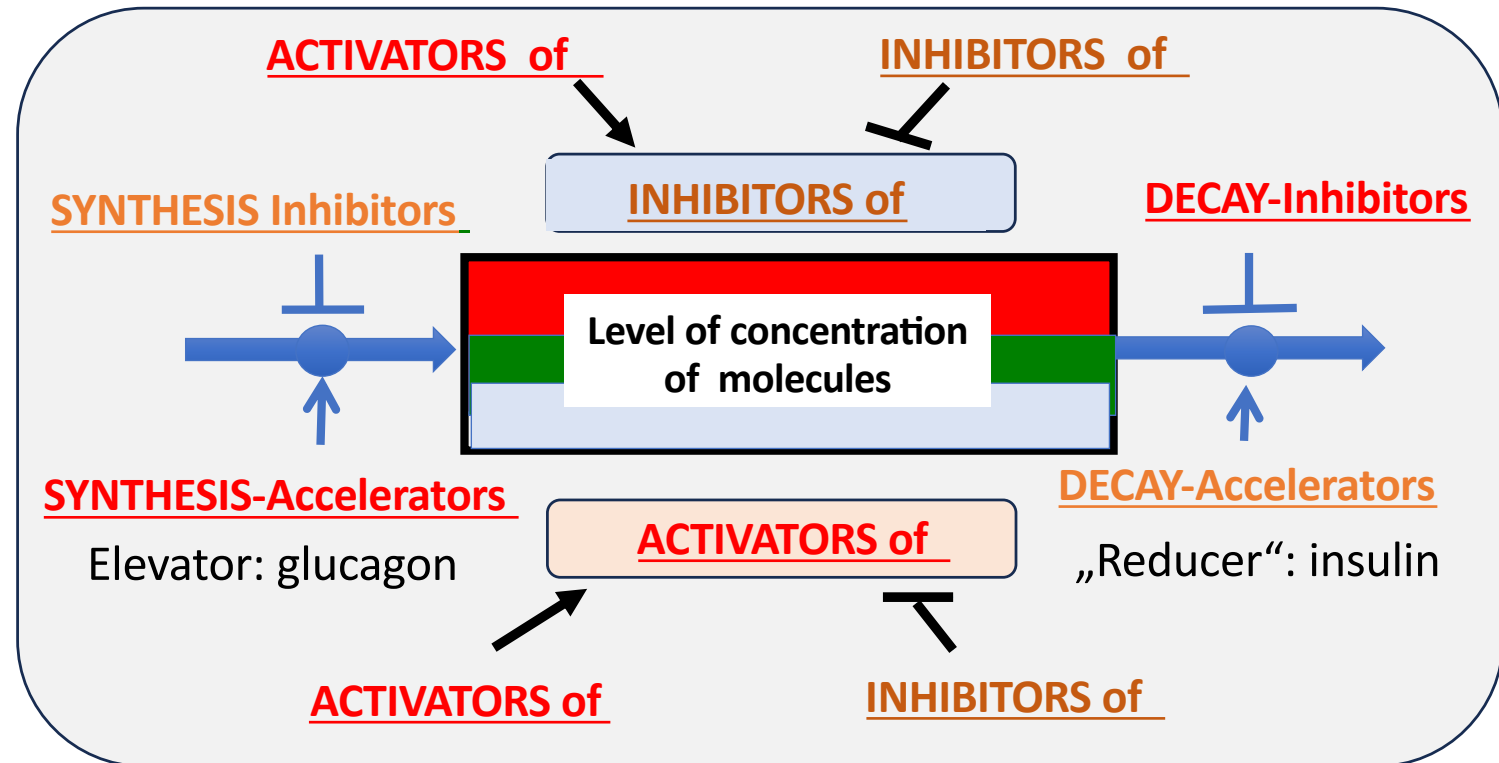


CONTROL LOOP MODEL

BLACK BOX ANALYSIS AND MODELING

Biochemical levels: ups & downs of concentrations by temporary imbalances of activators and inhibitors of the respective substance

e.g. :
Level of glucose
is raised by
glucagon and/or
by blocking insulin

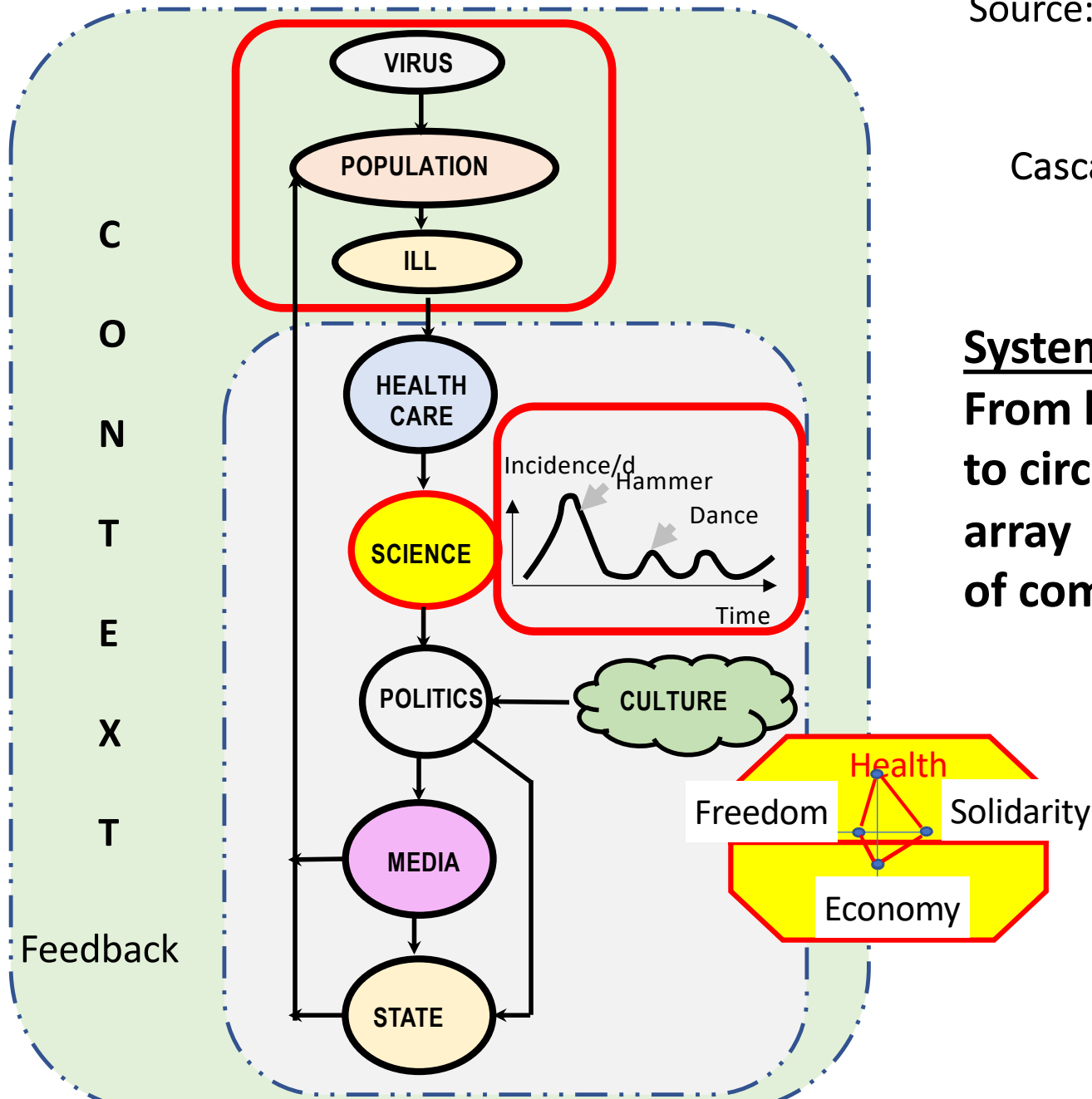


THE CORONA-PROBLEM and its MANAGEMENT

Source: F. Tretter 2022

Cascade model

Systems thinking:
From linear to loop
to circular
array
of components =>



CORONA MANAGEMENT CYCLE

with intrinsic
dynamics
(dotted arrows)
in a

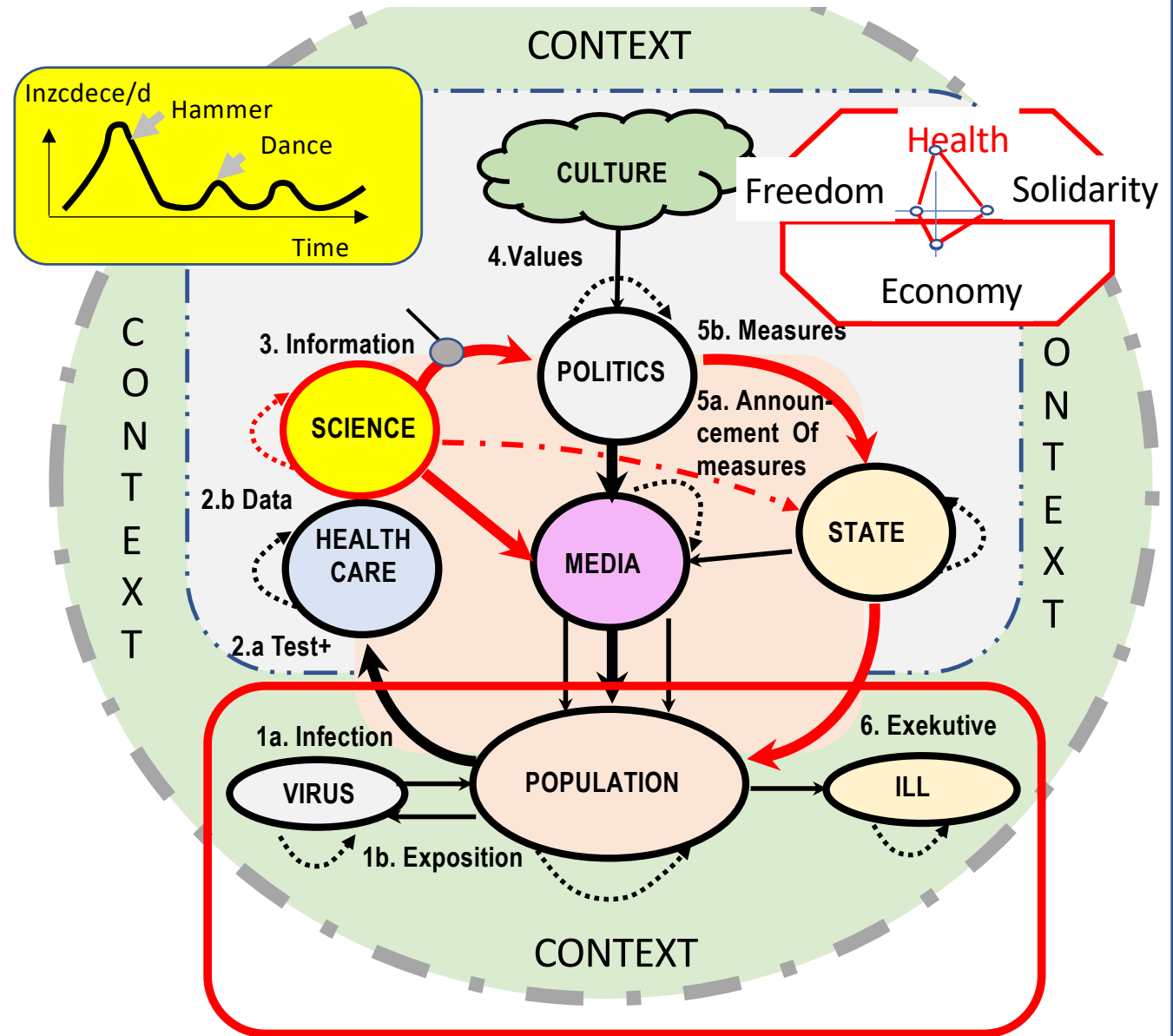
Systemic control loop
model: "Follow the
Science" as focus

DELAYS !!!

- dynamics also by
regulation

=> over- and under-
regulation

Sphere of activity: Population and Virus, Health care and Science,
Politics, Media & Public Administration and Population

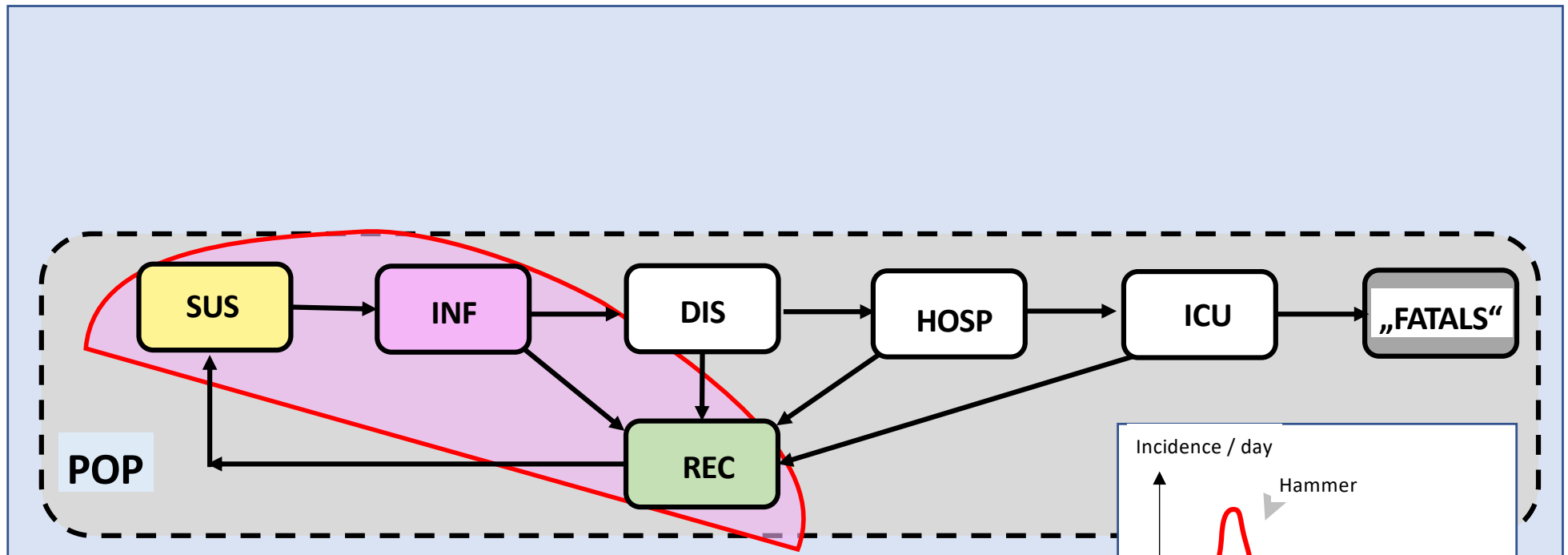


SYSTEM MODELS & POPULATION – numbers without theory ?:

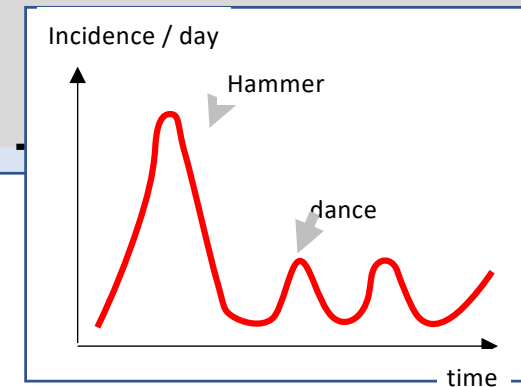
From S-I-R model to S-(E-C)-I-D-H-C-R-F- Model

(e.g. Meyer-Hermann-Group; Helmholtz Inst., Braunschweig)

Compartment model



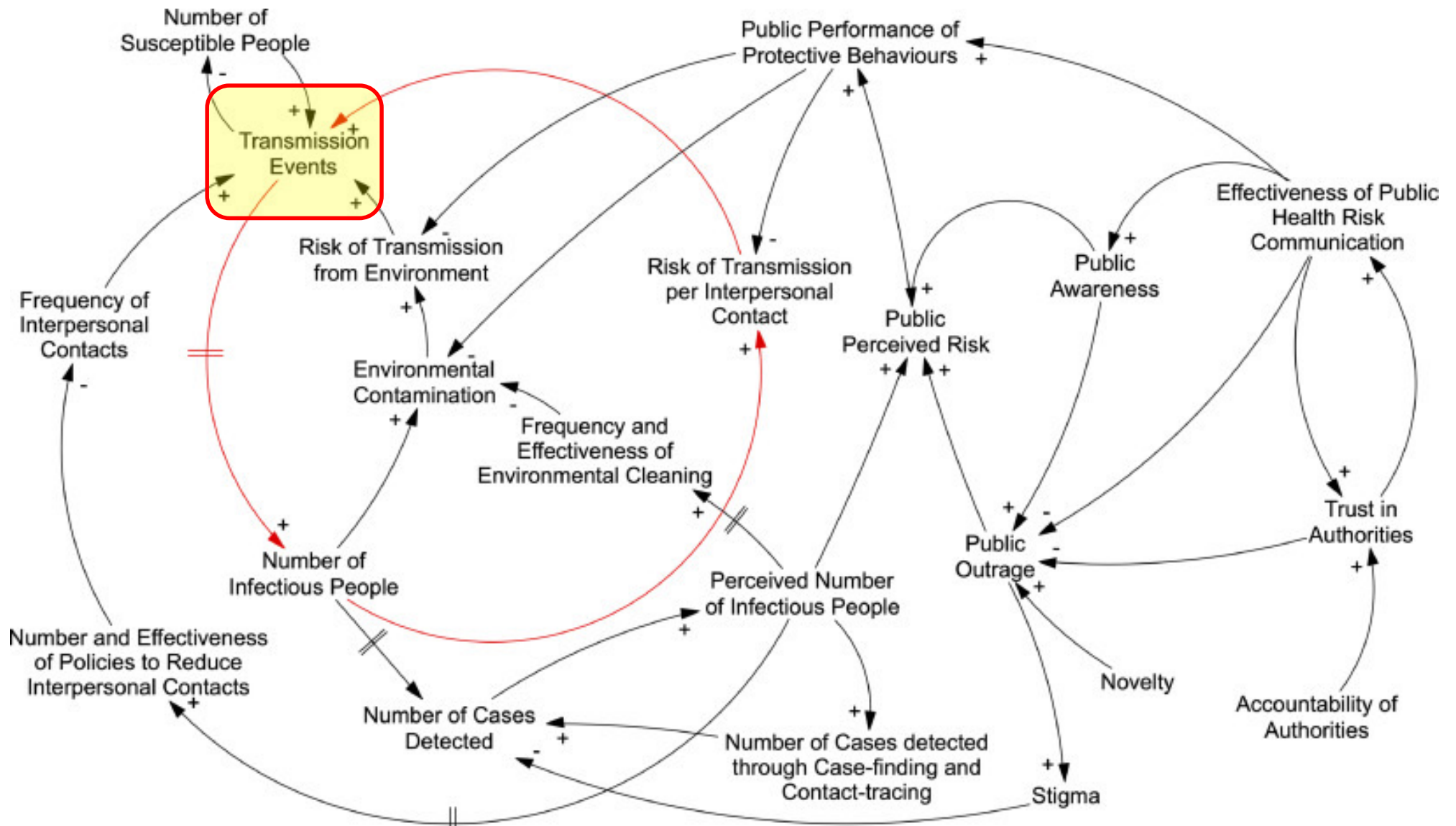
(non-linear) differential equations, but too simple conceptualization !



HC & **Sociocultural variables** not explicitly integrated!

Source: F. Tretter 2022

Bradley et al. 2020, Lancet



[https://www.thelancet.com/journals/eclinm/article/PIIS2589-5370\(20\)30069-9/fulltext](https://www.thelancet.com/journals/eclinm/article/PIIS2589-5370(20)30069-9/fulltext)

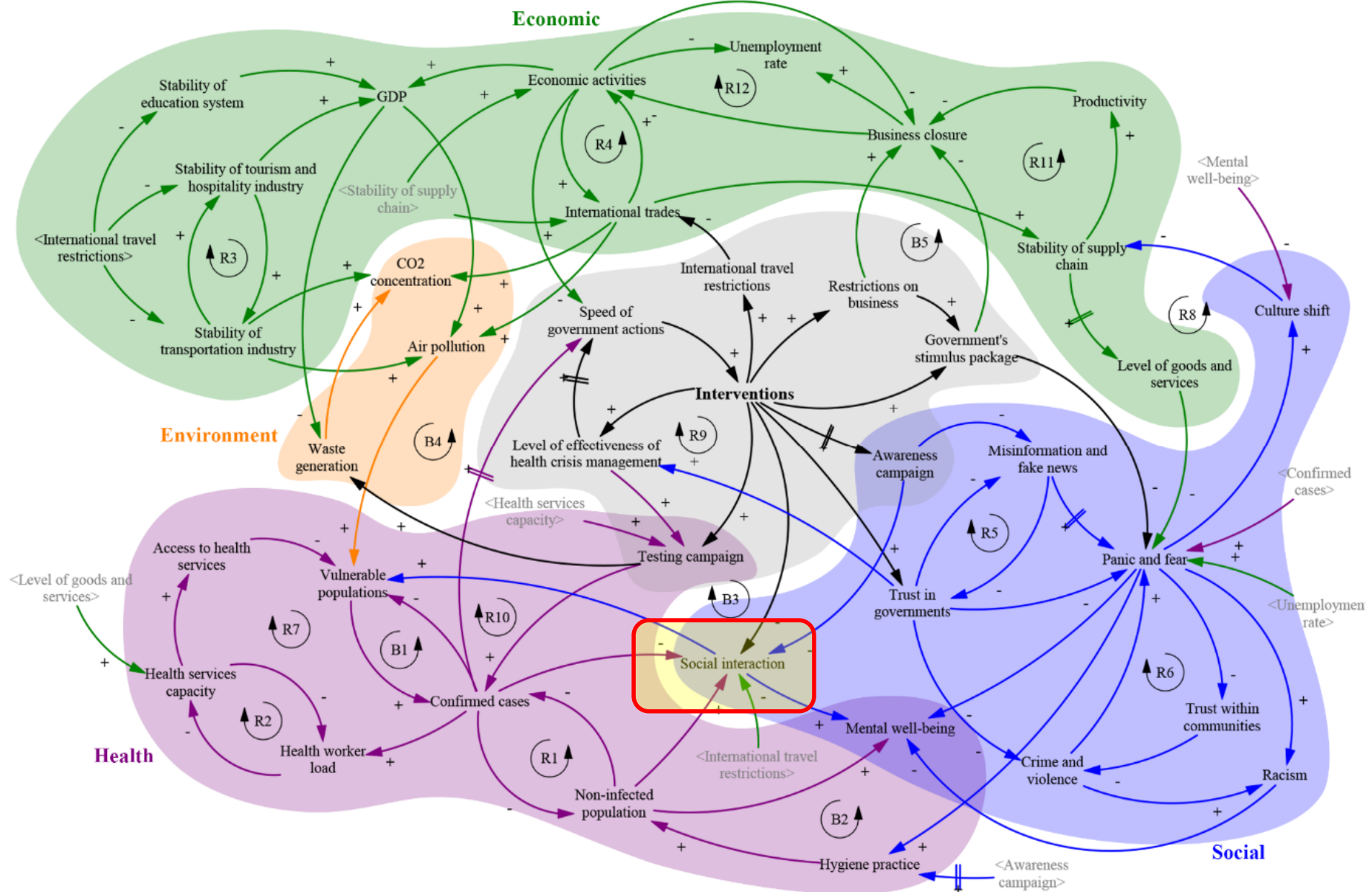
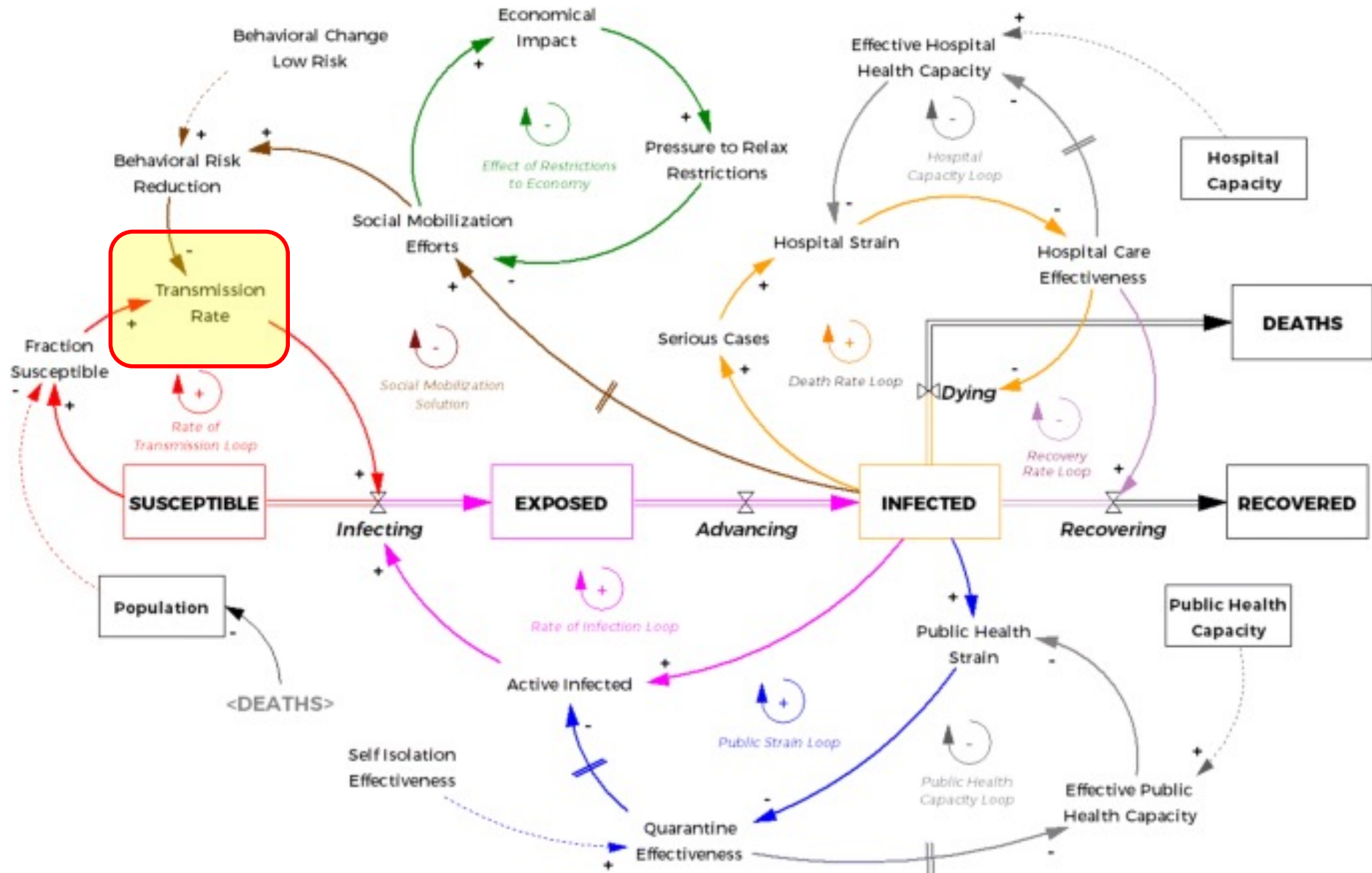


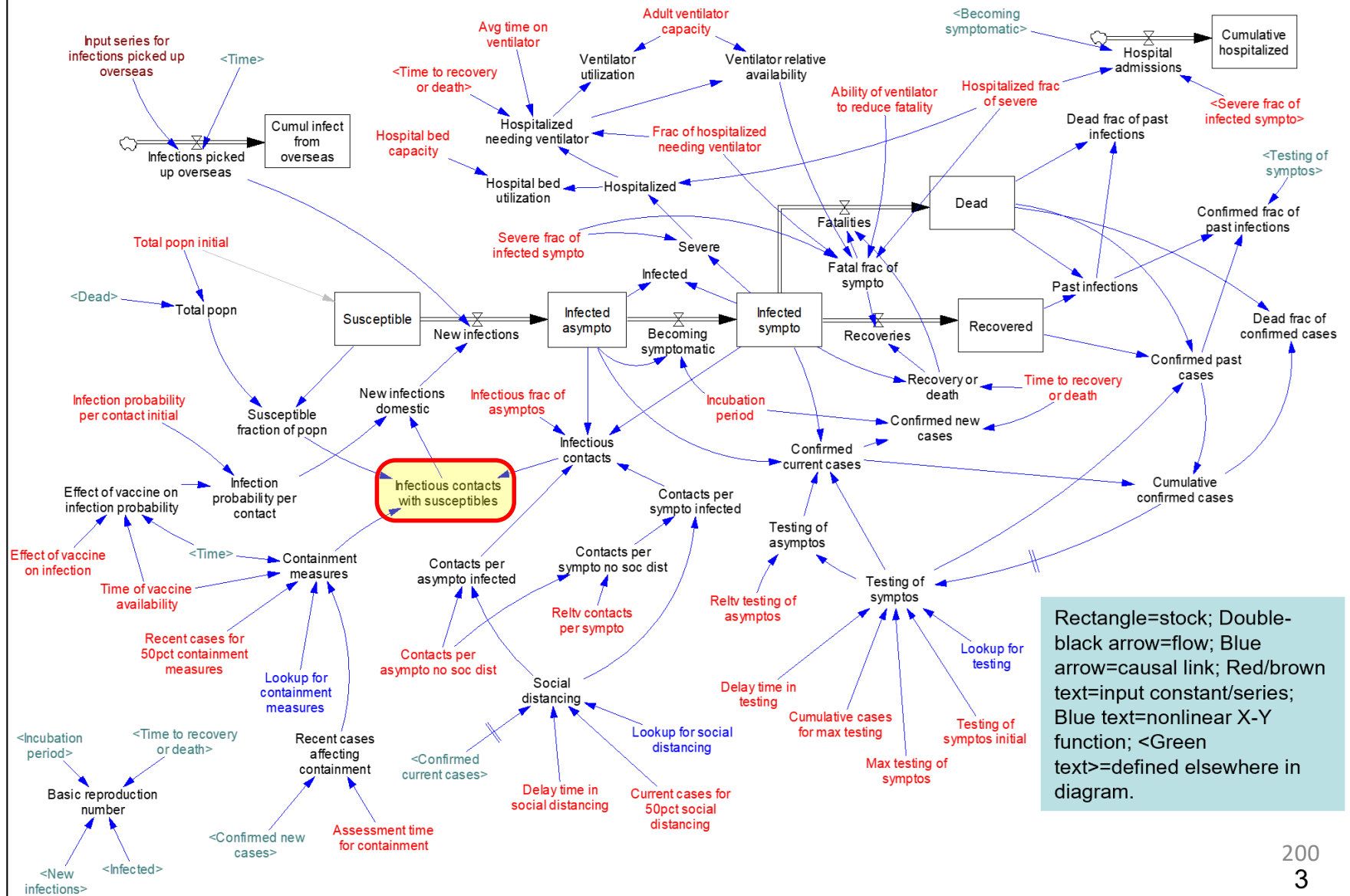
Figure 1. A preliminary causal loop diagram demonstrating the complexity of the COVID-19 pandemic environmental–health–socio–economic system.



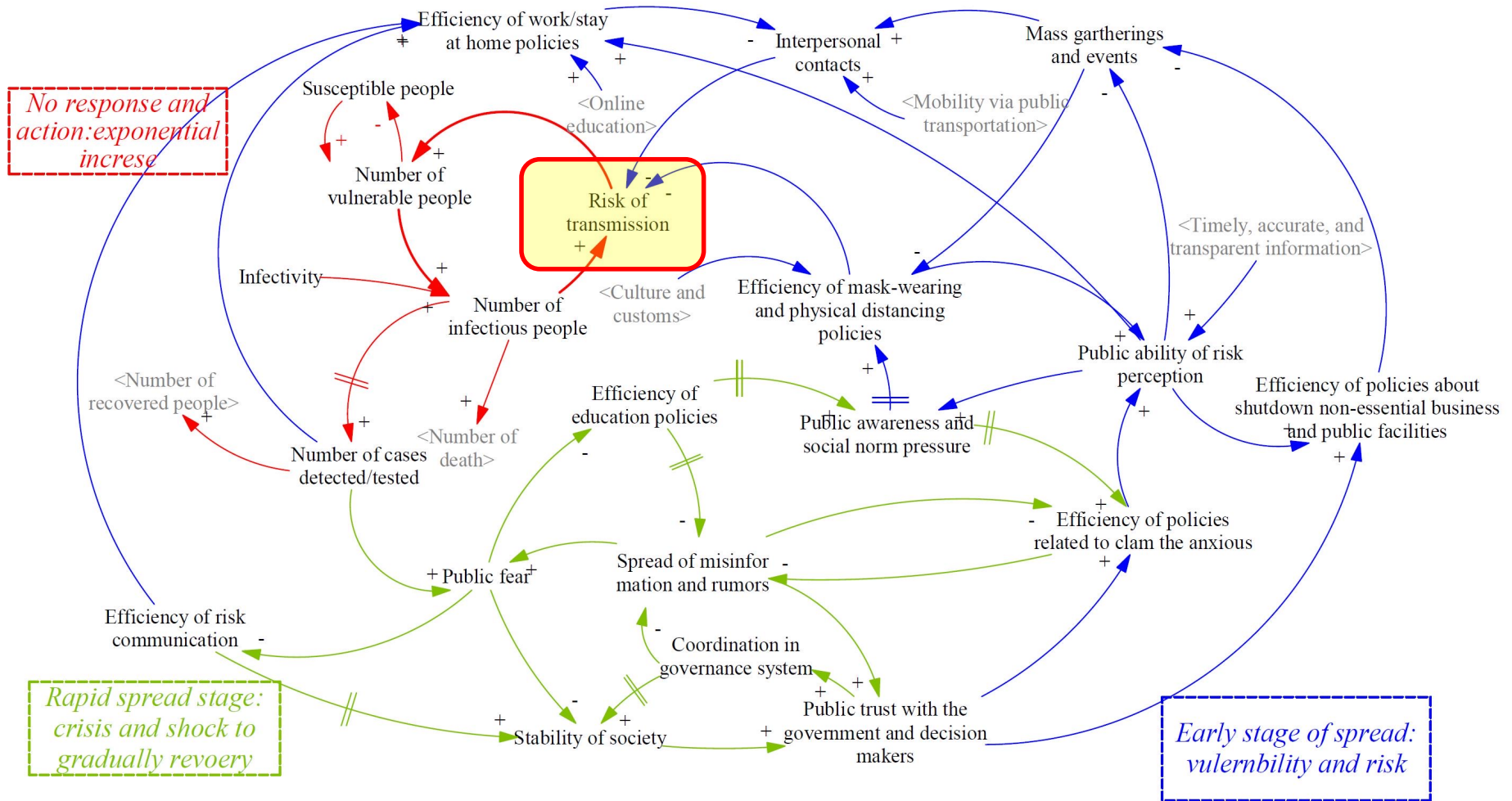
A model of COVID-19 in the US

with endogenous testing, containment measures, and social distancing

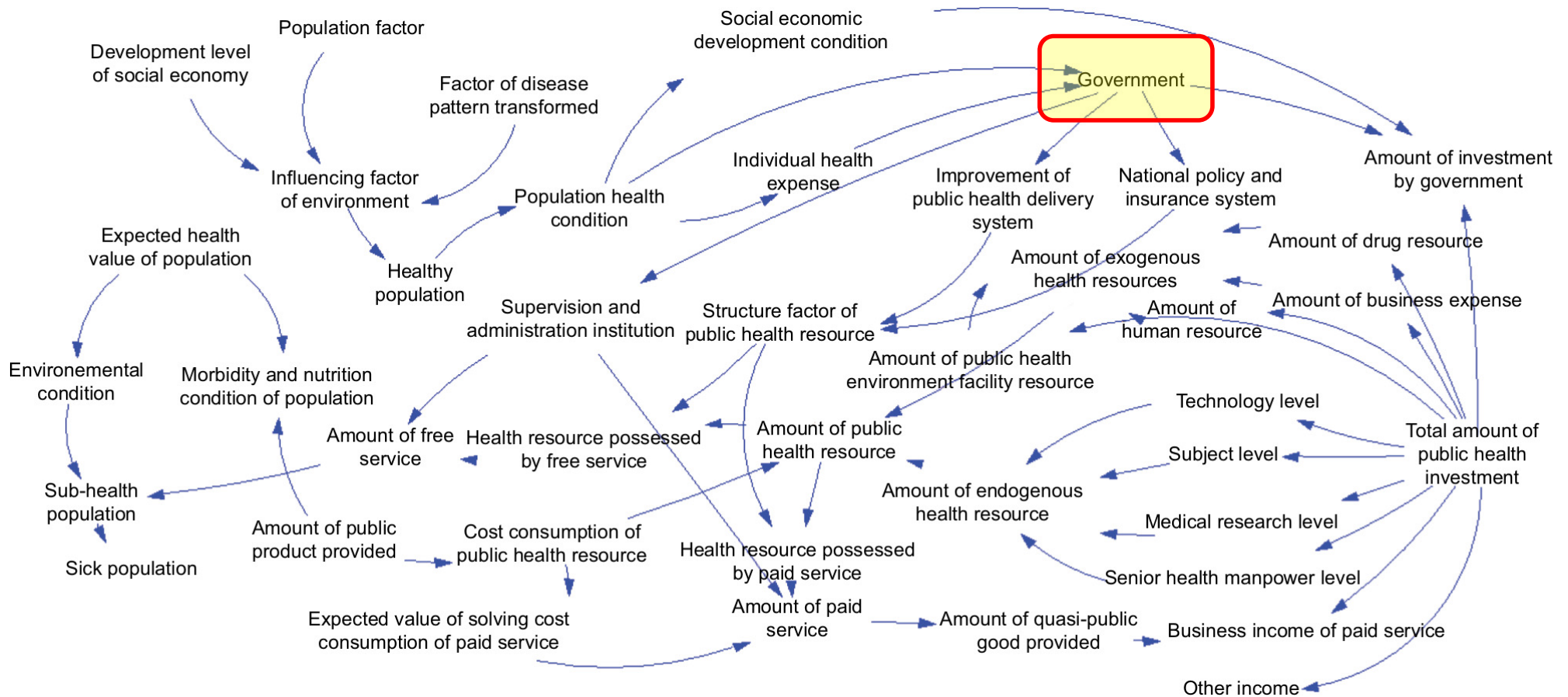
An SIR model with endogenous responses



Wang & Mansouri 2021, IS



Li et al. 2019 !!! **System dynamics modeling of public health services provided by china cDc to control infectious and endemic diseases in china**
https://www.dovepress.com/cr_data/article_fulltext/s185000/185177/img/idr-185177_F001.jpg



SUMMARY / PERSPECTIVE

CONSEQUENCE:

A SYSTEMIC-HUMAN-ECOLOGICAL PERSPECTIVE FOR EPIDEMIOLOGY/ THE HEALTH SCIENCES / MEDICINE

(1) **Transdisciplinarity** as an epistemic basis ! (TD > ID!)
- Laboratory perspective + field perspective (practice, affected persons...)

(2) **Human beings** as subjects at the centre (population vs. anthropology):
- re-establish "personalized medicine"
- "Subjects" who act are carriers of health problems.

(3) A **(human) ecological framework** is necessary

(4) Use the **systemic methodology** explicitly for dynamics

=> institutions?

=> Is "the" society interested in this?

THANK YOU FOR YOUR ATTENTION

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Computation ?

OR /(AND)

Conceptual modeling?

